

**WECC Criteria**

INT-CRT

March 13, 2023

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# INT-001-WECC-CRT-4.1—Introduction

1. **Title**: **e-Tag Requirements for WECC including Wrongful Denial of Request for Interchange (RFI)**
2. **Number**: INT-001-WECC-CRT-4.1
3. **Purpose**: To manage Arranged Interchange and address evaluation errors not explicitly addressed in North American Electricity Reliability Corporation (NERC) Reliability Standards or North American Energy Standards Board (NAESB) Business Practice Standards, but are considered necessary for transactions sinking within the Western Interconnection.
4. **Applicability**:
   1. **Functional** **Entities**:
      1. Balancing Authorities
      2. Transmission Service Provider
5. **Effective** **Date**: June 18, 2019

## Requirements and Measures

**WR1**. Each Balancing Authority and each Transmission Service Provider that receives an on-time Arranged Interchange, an emergency RFI, or a Reliability Adjustment RFI for reliability assessment, shall approve its transition to Confirmed Interchange unless one of the following occurs:

• Implementation of the Confirmed Interchange would result in violation of a NERC Reliability Standard, NAESB Business Practice Standard, WECC Regional Criterion, Transmission Service Provider Transmission Tariff, or business practice.

**WM1**. In those cases where the interchange transaction was denied, each Balancing Authority and each Transmission Service Provider will have evidence of one of the following, as required in WR1:

• Acceptance of an Arranged Interchange would have resulted in the violation of any NERC Reliability Standard, NAESB Business Practice Standard, WECC Regional Criterion, Transmission Service Provider Transmission Tariff, or business practice.

**WR2**. Each Balancing Authority and each Transmission Service Provider that incorrectly denies the transition of Arranged Interchange to Confirmed Interchange, or allows on-time Arranged Interchange, an emergency RFI, or a Reliability Adjustment RFI to transition to EXPIRED, shall take the following corrective action:

• If the Arranged Interchange has not transitioned to a final composite state, correct its denial by approving the transition from Arranged Interchange to Confirmed Interchange.

• If the Arranged Interchange has transitioned to a final composite state of DENIED or EXPIRED, contact the submitter of the RFI and request that they re-submit the RFI.

• Approve or deny the transition of the re-submitted Arranged Interchange to Confirmed Interchange, subject to WR1 above, without consideration to the LATE time classification. Contact those remaining entities that have yet to approve or have denied the transition from Arranged Interchange to Confirmed Interchange and communicate to them that the Arranged Interchange is subject to this document.

**WM2**. Each Balancing Authority and each Transmission Service Provider will have evidence that corrective action was taken if either the Balancing Authority or the Transmission Service Provider incorrectly allowed on-time Arranged Interchange, an emergency RFI, or a Reliability Adjustment RFI to transition to a final composite state of DENIED or EXPIRED, as required in WR2.

Examples of evidence to prove corrective action was taken may include, but is not limited to, voice recordings, dispatcher logs, or other related records.

**WR3**. Each Balancing Authority and each Transmission Service Provider submitting a Reliability Adjustment RFI that sets the reliability limit below the actual available reliability limit shall submit a new Reliability Adjustment RFI with the accurate reliability limit within five minutes after discovering the error.

**WM3**. Each Balancing Authority and each Transmission Service Provider will have evidence that a new Reliability Adjustment RFI was submitted, as required in WR3.

## Version History

| **Version** | **Date** | **Action** | **Change Tracking** |
| --- | --- | --- | --- |
| 2[[1]](#footnote-1) |  | Initial Tracking | INT-BPS-001-2 |
| 3[[2]](#footnote-2) | September 6, 2007 | Requirements change. Change to “3.” | INT-BPS-001-3. Delete WR3.1.2 as e-Tag 1.8 TSP no longer allowed in the Scheduling Entity field; deleted the “or” in WR3.1.1 as there is no “or” with deletion of 3.1.2; deleted WR4.1 because there never was a 4.1. |
| 1 | August 31, 2009 | Designation change to “Criterion.” | Developed as WECC-0053  Renamed: INT-001-WECC-CRT-01 |
| 2 | October 20, 2010 | Standing Committee approved | Approved for Board review as INT-001-WECC-CRT-02  Updated as part of INT Rewrite Project |
| 2 | December 9, 2010 | WECC Board of Directors approved |  |
| 2 | September 5, 2012 | Board changed designation | INT-001-WECC-CRT-2 changed to INT-001-WECC-RBP-2 |
| 2 | June 25, 2014 | Board changed designation | INT-001-WECC-RBP-2 changed to INT-001-WECC-CRT-2 |
| 2.1 | January 28, 2016 | Errata | The word “of” was inserted into the Title line. |
| 2.1 | April 1, 2016 | No change | Converted to new template |
| 3 | June 21, 2017 | WECC Standards Committee approved | Developed as WECC-0121A. Five-year review. Conformed to drafting conventions. Capitalized terms changed to lower case where possible. This project was not forwarded to the WECC Board of Directors pending a second ballot to relocate WR1/WM1 from this document to WECC-0129 INT-004-WECC-CRT-3, Treatment of Reliability Adjustments. That ballot was approved followed by WECC Board of Directors approval on December 5, 2018. |
| 4 | December 5, 2018 | WECC Board of Directors approved | Developed as WECC-0121A; the June 21, 2017, version was not made effective pending the outcome of a ballot to relocate WR1/WM1. That relocation was approved on December 5, 2018. The format was also adjusted. |
| 4.1 | June 18, 2019 | Errata | Converted to newest template.  In Version 4.1: 1) Applicability 4.1.3 and 4.1.4 were deleted as redundant and 2) Version History syntax was corrected. |

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## Attachments

Not used.

## Rationale

Not used.

# INT-003-WECC-CRT-3.2—Introduction

1. **Title: Interchange Prescheduling Calendar**
2. **Number**: INT-003-WECC-CRT-3.2
3. **Purpose**: To facilitate submittal of Interchange Schedule information through Request for Interchange (RFI) prior to the day of implementation.
4. **Applicability**:
   1. **Functional** **Entities**:
      1. Any North American Energy Standards Board (NAESB) Registered Entity (NRE)[[3]](#footnote-3), such as a Purchasing-Selling Entity, Load-Serving Entity, Generating-Serving Entity, or Balancing Authority, that creates an e-Tag by submitting an RFI.
      2. Balancing Authority
5. **Effective** **Date**: December 3, 2019

## Requirements and Measures

**WR1.** Each NRE submitting a prescheduled RFI shall do so by 1500 HRS, Pacific Prevailing Time (PPT), for the preschedule day(s) in accordance with WECC’s current year prescheduling calendar.

**WM1.** Each NRE submitting a prescheduled RFI will have evidence that its RFIs, submitted per WR1, met the criteria required in WR1. Evidence may include, but is not limited to, presentation of the RFIs covered by this requirement.

**WR2.** Each Balancing Authority shall make personnel or processes available on a seven-day basis for facilitating subsequent-day Interchange Schedule(s) and preschedule checkouts.

**WM2.** Each Balancing Authority will have evidence that it made personnel or processes available meeting the criteria as described in WR2. Evidence may include, but is not limited to, documentation of personnel or processes meeting the criteria described in WR2.

## Version History

| **Version** | **Date** | **Action** | **Change Tracking** |
| --- | --- | --- | --- |
| 1 | March 7, 2007 | Operating Committee Approved | Initial approved text |
| 2 | August 31, 2009 | Operating Committee Approved | Converting current approved Business Practice (INT-BPS-003-0) into new Regional Criterion format—no other changes were made. |
| 2 | September 5, 2012 | WECC Board of Directors changed designation to “RBP” | Changed the designation from “CRT” to “RBP” |
| 2 | December 6, 2012 | WECC Board of Directors Approved | Developed as WECC-0076. WR1 and WR2 were combined into WR1. WR3 was deleted. |
| 2.1 | December 18, 2012 | Errata | Reference to WIT as “Western” Interchange Tool was changed to “WECC” Interchange Tool. Designation was changed from “CRT” to “RBP.” |
| 2.1 | June 25, 2014 | WECC Board of Directors changed designation to “CRT” | Changed the designation from “RBP” to “CRT” |
| 2.1 | April 1, 2016 | No Change | Converted to new template |
| 3 | December 5, 2018 | WECC Board of Directors approved | Developed as WECC-0131.  Changes for Version 3 include: 1) replacement of the Purchasing-Selling Entity with NRE (NAESB Registered Entity); use of the NERC Functional Model is not required for a WECC Criterion, 2) enhanced syntax throughout, 3) deletion of the annual adherence attestation from WM2, 4) additions to the Rationale section explaining the use of proper nouns and NRE, 5) addition of footnote 1 annotating Peak Reliability’s use of data. |
| 3.1 | June 18, 2019 | Errata | Converted to newest template.  In Version 3.1: 1) “HRS” was changed to “hrs,” throughout, 2) “auspices” was changed to “auspice” (Rationale), 3) “Balancing Authority” was spelled out followed by its acronym “BA” (Rationale), 4) Functional Entities paragraph updated to match Section 4. Applicability (Rationale), 5) “Interchange Scheduling” was corrected to “Interchange scheduling” (Rationale), and 5) Version History syntax was corrected. |
| 3.2 | December 3, 2019 | Errata | Footnote one was added stating, “The term “NRE” refers to entities registered in the NAESB Electronic Industry Registry (EIR).” |

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## Attachments

Not Used

## Rationale

### Use of Capitalized Terms

This document addresses RFIs in the context of the interchange software used to facilitate those RFIs. Generally, the specifications for creation and treatment of RFIs are detailed by the North American Energy Standards Board (NAESB) in the e-Tag specification, or its successor. The interchange software tool used to facilitate RFIs currently functions under the auspice of the Open Access Technology International, Inc. (OATI).

For purposes of this document:

1) The NAESB terms “NAESB Registered Entity,” “Hour Ending Value,” and “Uploaded NSI” are adopted as proper nouns without further definition.

2) The OATI term “WECC Interchange Tool (WIT)” is adopted as a proper noun without further definition. When used in this document, the term WIT also includes its successor.

**Applicable Entity**

In this version of the WECC Criterion, the NRE has replaced the Purchasing-Selling Entity (PSE) used in the previous version. The Applicable Entity section now reads:

1. **Applicability**:
   1. **Functional** **Entities**:
      1. Any North American Energy Standards Board (NAESB) Registered Entity (NRE), such as a Purchasing-Selling Entity, Load-Serving Entity, Generating-Serving Entity, or Balancing Authority, that creates an e-Tag by submitting an RFI.

Replacing the PSE was made to ensure an open and transparent standards/criteria process.

In 2017, WECC adopted the identical voting segments used by the North America Electricity Reliability Corporation (NERC). As a result, within NERC and WECC, the PSE is no longer recognized as a voting entity. If the PSE had been retained in this iterative document, the PSE would be required to perform the specified tasks without having the right to vote on those tasks. To remedy that concern, the tasks previously assigned to the PSE have been reassigned to the NRE. By using the NRE, the Applicability section assigns tasks to those entities commonly referred to as tag authors within NAESB while retaining the right to vote by those entities.

### Background

For purposes of this document only, the term *preschedule* is intended to mean transactions submitted as RFIs at least a day prior to implementation.

On March 29, 1996, the New York Mercantile Exchange (NYMEX) began trading electricity futures at Palo Verde, Arizona, and the California-Oregon Border (COB). At that time, significant issues were found which affected coordination and reliability of control areas in the West. A meeting was held in late July 1996 to begin coordination of preschedule calendars, which led to the formation of the Interchange Scheduling and Accounting Subcommittee (ISAS) and to current criteria and calendars used by WECC today.

In February 2003, the ISAS approved guidelines that streamlined and standardized the creation of the annual WECC prescheduling calendar in April 2005. The ISAS also approved revisions to the associated guidelines. The annual WECC prescheduling calendar and guidelines were initially presented to the Operating Committee as an information-only item.

It is expected that NRE will process RFIs in accordance with NERC Reliability Standards and applicable NAESB Business Practice Standards.

### Requirement WR1

The intent of WR1 is to facilitate the submittal of preschedule transactions based upon the WECC prescheduling calendar. It is not the intent to mandate that **all** transactions be submitted prior to 1500 PPT. The document does not prohibit the submission of transactions after 1500 PPT or transactions submitted the day of implementation.

BAs and Transmission Service Providers should continue to process and perform reliability assessments of requests received after 1500 PPT. An RFI that is received after 1500 PPT, and is at least four hours prior to ramp start, has a reliability assessment time of two hours and may remain in a pending state until preschedule checkouts are performed. Historically, BAs have denied RFIs received later than 1500 PPT on the prescheduling day, according to the prescheduling calendar. A Balancing Authority (BA) should not deny an RFI with the sole intention of excluding it from the preschedule checkout process. The BA may choose to exclude an RFI that is received after 1500 PPT in its preschedule checkout totals by delaying the assessment of the RFI until closer to the reliability assessment deadline.

For example, an RFI that is received at 1505 may not be approved by all entities until 17:04:59. It would only be included in the WECC Net Scheduled Interchange (NSI) and the BA’s confirmed NSI at that time and would most likely be excluded from preschedule checkout.

A prompt and efficient preschedule checkout process typically happens between 1500 and 1700 PPT. To ensure this, the document implies that RFI processing continue, while the preschedule checkout is conducted by each BA through a direct comparison of the instantaneous confirmed NSI in the BA scheduling system and the WIT.

Currently, each WECC BA calculates NSI with its adjacent Balancing Authorities, usually based on information in the BA’s scheduling system. Since these calculations are conducted independently, it is important that they be compared with the WIT.

The WIT facilitates preschedule, next hour, and After-the-Fact (ATF) checkout with adjacent BAs, since each BA should be controlling to the net schedule that the WIT calculates—or resolving any differences before the operating hour. Using the WIT in prescheduling allows BAs to ensure that they include all net schedules in the scheduling system. It is important that each BA compare the instantaneous preschedule values of confirmed NSI with those of the WIT. The checkout will be conducted at any time—usually after 1500—and may be defined within a BA’s operational procedures and/or practices.

The BA preschedule desk may conduct a preschedule checkout by using one of the following processes:

a) The BA scheduler must manually compare each Hour Ending value in the preschedule horizon between the BA’s scheduling system, and the NSI that is calculated and displayed in the WIT. The scheduler, for auditing purposes, may check the checkout box available under each Hour Ending value to ensure that a BA has completed its checkout with the WIT.

b) As required, the BA will upload the NSI from their scheduling system into the WIT through the available Electric Industry Data Exchange (EIDE) interface.[[4]](#footnote-4) Once the Confirmed NSI is uploaded, it will appear in the WIT field titled Uploaded NSI. The BA scheduler must manually compare each Hour Ending Value in the preschedule horizon between the Uploaded NSI and the NSI in the WIT. The scheduler, for auditing purposes, may check the checkout box available under each Hour Ending Value to ensure that a BA has completed its checkout with the WIT.

It is important to note that the preschedule process does not require adjacent BAs to call or fax one another to ensure that preschedule checkout is complete. Once a BA validates that its confirmed NSI matches the WIT NSI using one of the processes outlined above, it is deemed to have completed the obligations of a preschedule checkout.

### Requirement WR2

The intent of this requirement is to have available personnel that will respond to preschedule checkout issues. WR2 encourages BAs to provide personnel to facilitate Interchange scheduling when issues arise which affect future days. This requirement helps ensure that issues with Interchange scheduling can be resolved in a timely manner.

WR2 is not to be interpreted as a mandate for any entity to create a seven-day per week scheduling department. Further, this is not a requirement to create an immediate response desk.

# INT-004-WECC-CRT-3.1—Introduction

1. **Title**: **Treatment of Reliability Adjustments**
2. **Number**: INT-004-WECC-CRT-3.1
3. **Purpose**: To ensure uniform treatment of reliability-based Curtailment(s) through a Reliability Adjustment Arranged Interchange (RAAI)
4. **Applicability**:
   1. **Functional** **Entities**:
      1. Balancing Authority
      2. Transmission Service Provider
5. **Effective** **Date**: June 18, 2019

## Requirements and Measures

**WR1**. Each Balancing Authority and Transmission Service Provider submitting a Curtailment as an RAAI shall do so with a start time no earlier than ten minutes prior to the submittal time.

**WM1**. Each Balancing Authority and Transmission Service Provider submitting a Curtailment as an RAAI will have evidence that those transactions met the criteria specified in WR1. Evidence may include, but is not limited to, production of an Interchange Transaction Tag that included a Curtailment through an RAAI with the start time and submittal time reflecting the parameters of WR1.

**WR2**. Each Balancing Authority and Transmission Service Provider shall approve a market adjustment when the Purchasing-Selling Entity reduces its transmission allocation profile to an amount equal to or greater than the reliability limit profile, and the start time of the adjustment is no earlier than the Curtailment start time.

**WM2**. Each Balancing Authority and Transmission Service Provider will have evidence that it approved each market adjustment received that met the criteria described in WR2. Evidence may include, but is not limited to, production of an Interchange Transaction Tag that includes approval reflecting the parameters of WR2.

**WR3**. Each Source and Sink Balancing Authority shall approve each Curtailment submitted as an RAAI, within the reliability assessment period identified in NERC INT standards, or their successor.

**WM3**. Each Source and Sink Balancing Authority will have evidence that it approved each Curtailment submitted as an RAAI, meeting the criteria specified in WR3.

**WR4**. Each Balancing Authority and Transmission Service Provider initiating a Curtailment shall ensure that the Curtailment meets each of the following:

1) Releases its own reliability limit profile on the Interchange Transaction Tag, when the reliability event allows for the reloading of the transaction, without releasing the reliability limit of other Balancing Authorities and Transmission Service Providers;

2) The current level on the Interchange Transaction Tag shall not be greater than the most limiting reliability limit. (Refer to the Rationale Section for narrative regarding the “current level.”)

**WM4**. Each Balancing Authority and Transmission Service Provider will have evidence that, when initiating a Curtailment, the Curtailment met each of the criteria described in WR4. Evidence may include, but is not limited to, production of the Interchange Transaction Tag(s) containing each of the characteristics specified in WR4.

**WR5**. Each Balancing Authority and Transmission Service Provider shall use the e-Tag as the primary tool to communicate Reliability Adjustment RFIs when transmission curtailment on its system is required.

**WM5**. Each Balancing Authority and each Transmission Service Provider will have evidence that it used the e-Tag as its primary tool to communicate Reliability Adjustment RFIs as required in WR5. Evidence may include, but is not limited to, production of the associated e-Tag.

## Version History

|  |  |  |  |
| --- | --- | --- | --- |
| Version | Date | Action | Change Tracking |
| 1 | March 7, 2007 | Operating Committee Approved | Initial |
| 2 | August 31, 2009 | Converted current approved Business Practice (INT-BPS-004-0) into new Regional criterion format. | No substantive change |
| 2 | September 5, 2012 | WECC Board of Directors changed designation from “CRT” to “RBP.” | Designation change |
| 2 | March 13, 2013 | WECC Board of Directors Approved | Developed as WECC-0077. Updated as part of INT Rewrite Project |
| 2 | June 25, 2014 | WECC Board of Directors changed designation from “RBP” to “CRT.” | Designation change |
| 2.1 | January 28, 2016 | Errata | The nomenclature changed from RBP to CRT. In the Applicability section, the plural of Balancing Authorities was changed to the singular Balancing Authority. |
| 2.1 | April 1, 2016 | No Change | Converted to new template |
| 3 | December 5, 2018 | WECC Board of Directors approved along with WECC-0121A INT-001-WECC-CRT-2.1, e-Tag Requirements for WECC including Wrongful Denial of RFI, in which WECC-0121A, Requirement WR1 and WM1 were approved for relocation to this document. | Developed as WECC-0129 in parallel with WECC-0121A.  Changes include: 1) enhanced syntax and drafting conformity, 2) updates to the Title and Purpose statements, 3) in WR1, “RFI” was replaced with “Curtailment as an RAAI” and “submission” was replaced with “submittal,” 4) in WR2, “Interchange Transaction” was changed to “market adjustment,” 5) in WR3, “Curtailment” was replaced with “each Curtailment submitted as an RAAI” and “addressed” was replaced with “identified,” 6) in WR4, each “Reliability Adjustment” was replaced with “the Curtailment.” Measures were updates accordingly.  **Relocation of Requirement creating WR5**  Ballots ran concurrently for WECC-0121A and WECC-0129. Both were approved. As a result, WECC-0121A WR1/WM1 of WECC-121A was deleted from WECC-0121A and inserted into WECC-0129 as WR5/WM5. |
| 3.1 | June 18, 2019 | Errata | Converted to newest template.  In Version 3.1: 1) “Request-For-Interchange” was replaced with “Request for Interchange” and the “RFI” acronym, 2) “with respect to” was replaced with regarding the” (Rationale), 3) “portions” was replaced with “parts” (Rationale), 4) “WECC Requirement (WR)” was replaced with “WECC Requirement,” 5) a footnote was added connecting “NERC Glossary of Terms Used in Reliability Standards” to “NERC Glossary” and, 6) Version History syntax was corrected. |

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## Attachments

Not used.

## Rationale

### Purpose

The stated purpose of this document is to “ensure uniform treatment of reliability-based Curtailment(s) through a Reliability Adjustment Arranged Interchange (RAAI).”

This document recognizes that there are multiple NERC Glossary terms that could pertain to a Curtailment request type on a Request for Interchange (RFI), such as Reliability Adjustment Arranged Interchange (RAAI) and Curtailment.[[5]](#footnote-5)

If there is confusion regarding the applicability of the NERC terms noted above, the underlying concept is that any WECC reliability entity issuing a Curtailment request type on an RFI (no matter which of the two defined terms above describes the underlying reason for this action), the Curtailment will be approved by all, and will not be denied within WECC.

This document also recognizes that the NERC Glossary contains multiple defined terms representing synonyms for RFIs, or subsets and parts thereof. Some of these definitions are still used colloquially but no longer have an effective date.[[6]](#footnote-6)

To avoid confusion and for purposes of this document, whether the term Curtailment is used in a WECC Requirement (WR) or used in any portion or type of an RFI, the term carries the definition used in the NERC Glossary.

### Requirement WR1

Both the Balancing Authority and the Transmission Service Provider are responsible for initiating Curtailments.

WR1 was historically included because the North American Energy Standards Board (NAESB) e-Tag specification did not address the ability of adjusting the time on reliability adjustments in the past. Tagging vendors indicated that drafting a business practice mandating this functionality would permit the vendor to update the software coding at no cost. To remedy the concern, the WECC Interchange Scheduling and Accounting Subcommittee (ISAS) determined that allowing RFIs a start time no longer than 10 minutes in the past addressed the ability to put an element back in service prior to performing reliability adjustments (Curtailments). Additionally, this reduces the amount of potential inadvertent interchange that can occur.

### Requirement WR2

WR2 originated to ensure Balancing Authorities and Transmission Service Providers approve market adjustments, releasing the transmission profile to match reliability adjustments. The benefit of requiring this functionality is twofold: 1) transmission customers can prevent unwanted reloads and 2) transmission customers can potentially utilize the transmission rights elsewhere.

### Requirement WR3

WR3 requires the Source and Sink Balancing Authority to approve all Curtailments within the reliability assessment period identified in NERC INT standards, or their successor.

WR3 recognizes that, within the Western Interconnection, the established practice is to approve each Curtailment submitted, without exception.

In applying this requirement, it must be noted that, by definition, a Curtailment is a “*reduction* in scheduled capacity or energy delivery.”[[7]](#footnote-7) (Emphasis added.) Per that definition, a Curtailment cannot be an *increase* in scheduled capacity or energy delivery, even though a software function may allow it.

Further detail addressing treatment of Curtailments and reloads is contained in the NAESB e-Tag specification.

### Requirement WR4

WR4 ensures that, when multiple Curtailments and reliability limits are set by Balancing Authorities and Transmission Service Providers, those limits are adhered to by parties on the e-Tag.

The multiple reliability limits set by each Balancing Authority and Transmission Service Provider should be managed by each entity and should be released by each entity when the reliability event is over. However, the overall reliability limit should be based on the most limiting level set across all Balancing Authorities and Transmission Service Providers.

The “current level” referred to in WR4 is a reference to that proper noun as a defined term in the NAESB e-Tag specification document. The “current level” should not exceed the most limiting reliability event. This ensures that, once an e-Tag is reloaded by one entity, another entity such as a Balancing Authority or Transmission Service Provider does not need to initiate a curtailment to reset the reliability limit.

### Requirement WR5

This requirement was imported from WECC-0121A INT-001-WECC-CRT-2.1, e-Tag Requirements for WECC including Wrongful Denial of RFI. See Version History table.

# INT-007-WECC-CRT-4—Introduction

1. **Title**: **Processing of Emergency Requests for Interchange (RFI)**
2. **Number**: INT-007-WECC-CRT-4
3. **Purpose**: To define the processing of Emergency RFI
4. **Applicability**:
   1. **Functional Entities:**
      1. Balancing Authority
      2. Transmission Service Provider
5. **Effective** **Date**: December 6, 2022

## Requirements and Measures

1. Each Balancing Authority, Transmission Service Provider, and each entity listed as an approving party on an Emergency RFI shall approve an Emergency RFI when each of the following conditions is met for a specific transaction:[[8]](#footnote-8)
2. The RFI author has designated the RFI transaction type as an Emergency RFI;
3. The content of the Emergency RFI is correct and meets all North American Electric Reliability Corporation (NERC), North American Energy Standards Board (NAESB), and Western Electricity Coordinating Council (WECC) requirements;
4. The Sink Balancing Authority has approved the RFI;
5. The duration of the RFI is two hours or less.
   1. Each Balancing Authority, Transmission Service Provider, and each entity listed as an approving party on an Emergency RFI will have evidence that each Emergency RFI meeting all the above conditions was approved as required in WR1.
6. Each Sink Balancing Authority shall verify that the conditions of the Emergency RFI exist based on any of the following actual or anticipated conditions:

* Loss of generation, including potential violation of license requirements, regulations, or statutes that apply under normal operating conditions;
* Loss of transmission;
* Loss of resource due to transmission constraint;
* Inadequate reserves;
* At the request of the Reliability Coordinator.
  1. Each Sink Balancing Authority verifying the conditions of the Emergency RFI will have evidence that each confirmed Emergency RFI met at least one of the requirements listed in WR2.

Evidence may include, but is not limited to, operator logs, voice recordings or transcripts of voice recordings, electronic communications, computer printouts, or other equivalent evidence that assisted the Sink Balancing Authority in adhering to WR2.

## Version History

|  |  |  |  |
| --- | --- | --- | --- |
| Version | Date | Action | Change Tracking |
| 1 | March 7, 2007 | WECC Operating Committee approved | Initial |
| 1 | April 25, 2007 | WECC Board of Directors approved | Initial |
| 1 | August 31, 2009 | Updated format | No change |
| 2 | July 13, 2011 | WECC Operating Committee approved | Address “emergency” verbiage; translated from Version Zero |
| 2 | September 22, 2011 | WECC Board of Directors approved | Developed as WECC-0056. |
| 2.1 | October 3, 2011 | Errata | “The Sink Balancing Authority” in WR2 was replaced with “Each Sink Balancing Authority.”  The WM2 phrase, “Each Sink Balancing Authority and each Purchase-Selling Entity that requested the RFI shall have and provide upon request evidence that each Emergency Confirmed Interchange that the RFI met at least one of the requirements listed in WR2.” was changed to read, “Each Sink Balancing Authority that requested the RFI shall have and provide upon request, evidence that for each Emergency Confirmed Interchange the RFI met at least one of the requirements listed in WR2.” The change was made to eliminate the PSE that was not mentioned in the associated WR2. |
| 2.1 | September 5, 2012 | WECC Board of Directors changed designation from “CRT” to “RBP.” | Designation change |
| 2.1 | June 25, 2014 | WECC Board of Directors changed designation from “RBP” to “CRT.” | Designation change |
| 2.2 | January 28, 2016 | Errata | In the Applicability section, plurals were changed to singular. |
| 2.2 | April 1, 2016 | No change | Converted to new template |
| 3 | June 21, 2017 | WECC Standards Committee approved | Developed as WECC-0121B. Five-year review. This project: 1) eliminated the Purchasing-Selling Entity (PSE) from the Applicability section, 2) generic language was added to WR1 filling the PSE void, and 3) an addition was made to the Guidance Section directing the reader to the WECC Process for Monitoring and Enforcement for information regarding adherence of PSEs. |
| 3 | December 6, 2017 | WECC Board of Directors approved | The first day of the second quarter following WECC Board of Director approval. Effective Date April 1, 2018 |
| 3.1 | June 18, 2019 | Errata | Converted to newest template.  In Version 3.1: 1) North American Electric Reliability Corporation and North American Energy Standards Board were spelled out for first use, 2) “all of” was replaced with “all” (WM1), 3) “in complying with WR2” was replaced with “in adhering to WR2” (WM2), and 4) Version History syntax was corrected. |
| 4 | December 6, 2022 | WECC Standards Committee (WSC) approved Non-Substantive change | Developed as WECC-0151. The WSC approved the following Non-Substantive change at footnote 1: from “See Guidance section” to “See Guidance, under Rationale section.” |

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## Attachments

Not used.

## Rationale

A Rationale section is optional. If Rationale Boxes were used during the development of this project, the content of those boxes appears below.

Guidance

In WR1, Version 3, the Purchasing-Selling Entity (PSE) has been removed and replaced with the phrase “and each entity listed as an approving party on an Emergency RFI.”

This document acknowledges the regulatory trend of removing entities from compliance and adherence documents except where those entities are included in the NERC Compliance Registry. This document also acknowledges that entities such as the Purchasing-Selling Entity (PSE) and the Load-Serving Entity (LSE) continue to perform functions related to e-Tagging.

Adherence and monitoring of WECC Criterion, such as this document, continue to be addressed in The Process for Monitoring Adherence to Regional Criteria (Process) that encompasses “each entity listed as an approving party.” The Process does not require that a non-adhering entity be listed in the NERC Compliance Registry; rather, the non-adhering entity is simply referred to as the “Alleged Non-Adhering Party.”

(WECC Document Categorization Policy, Section 2.2, WECC Regional Criteria, Monitoring, and Enforcement (pages 5-6); see also WECC’s Process for Monitoring Adherence to WECC Regional Criterion Requirements.)

# INT-008-WECC-CRT-3.2—Introduction

1. **Title: Treatment of Dynamic Transfer Request for Interchange (RFI)**
2. **Number**: INT-008-WECC-CRT-3.2
3. **Purpose**: To define RFI requirements for Dynamic Transfers
4. **Applicability**:
   1. **Functional Entities**:

This document only applies to those Applicable Entities listed below that are identified as parties to a Dynamic Transfer.

* + 1. Any North American Energy Standards Board (NAESB) Registered Entity (NRE)[[9]](#footnote-9) that creates an e-Tag by submitting an RFI (such as a Purchasing-Selling Entity, Load-Serving Entity, Generating-Serving Entity, or Balancing Authority).
    2. Balancing Authority

1. **Effective Date**: December 3, 2019

## Requirements and Measures

**WR1.** Each NRE shall submit an RFI for each of the following Transaction Types:

1) “Dynamic Schedule” [[10]](#footnote-10)

2) “Pseudo-Tie”

**WM1.** Each NRE submitting an RFI for a “Dynamic Transfer” or a “Pseudo-Tie” as specified in WR1 will have evidence of that submittal. Evidence may include, but is not limited to, production of the RFI including the prescribed content.

**WR2.** Each NRE shall inform the Transmission Service Provider (TSP), via the transmission allocation section of the RFI, of the transmission capacity required to serve the maximum flow of the NRE’s Dynamic Transfer.

**WM2.** Each NRE will have evidence that it informed the TSP of the transmission capacity allocation, per the criteria specified in WR2. Evidence may include, but is not limited to, production of an RFI showing the Dynamic Transfer and the attributes required in WR2 or production of other forms of communication if the tagging system is not available.

**WR3.** Each Source Balancing Authority and each Sink Balancing Authority shall implement the Interchange without exceeding either the transmission allocation profile or the reliability limit profile stated in the Confirmed Interchange.

**WM3.** Each Source and each Sink Balancing Authority implementing the Interchange as specified in WR3 will have evidence that the Interchange was implemented as specified in WR3. Evidence may include, but is not limited to,documentation that, during the operating hour, the Implemented Interchange did not exceed either the transmission allocation profile or the reliability limit profile stated in the e-Tag associated with the Confirmed Interchange.

**WR4.** Each Balancing Authority shall exclude the estimated energy profile from its implemented “Dynamic Schedule” Tag, when used in the Net Scheduled Interchange (NSI) between Adjacent Balancing Authorities, for future hour check-out, until after the completion of the operating hour, when the value should be included.

**WM4.** Each Balancing Authority will have evidence that it treated its estimated energy profile from “Dynamic Schedule” Tag per the criteria in WR5. Evidence may include, but is not limited to, production of RFIs reflecting the prescribed WR4 criteria.

**WR5.** Each NRE, each Source Balancing Authority, and each Sink Balancing Authority that is a party to a tag for Dynamic Transfer shall jointly agree on which of those three applicable entities will update the Dynamic Transfer profile.

**WM5.** Each NRE, Source Balancing Authority, and Sink Balancing Authority that is a party to a tag for Dynamic Transfer will have evidence that it shall jointly agree on which of those three applicable entities were to update the Dynamic Transfer profile as required in WR5. Evidence may include, but is not limited to, any form of written or recorded correspondence showing that agreement was reached by the parties specified in WR5 and indicating which of the three entities was selected to update the Dynamic Transfer profile.

**WR6.** Each NRE, Source Balancing Authority, and Sink Balancing Authority that is a party to a tag for Dynamic Transfer shall use the values obtained from a common agreed on source, to submit updates to the Dynamic Transfer energy profile with the integrated value (MWh) within 60 minutes after the completion of the operating hour.

**WM6.** Each NRE, Source Balancing Authority and Sink Balancing Authority that is a party to a tag for Dynamic Transfer per WR6 will have evidence that the tag reflected the criteria specified in WR6. Evidence may include, but is not limited to,production of an after-the-fact tag adjustment reflecting a submittal time no greater than 60 minutes after the completion of the operating hour.

**WR7.** Each Balancing Authority shall include each adjusted “Dynamic Schedule” for Implemented Interchange, in the Net *Scheduled* Interchange between Adjacent Balancing Authorities, after the completion of the operating hour (emphasis added).

**WM7.** Each Balancing Authority will have evidence that it included each adjusted “Dynamic Schedule” for Implemented Interchange, in the Net *Scheduled* Interchange between Adjacent Balancing Authorities, after the completion of the operating hour, as specified in WR7. Evidence may include, but is not limited to, production of tags reflecting the prescribed WR7 criteria.

**WR8.** Each Balancing Authority shall include each adjusted “Pseudo-tie” Implemented Interchange, in the Net *Actual* Interchange between Adjacent Balancing Authorities, after the completion of the operating hour (emphasis added).

**WM8.** Each Balancing Authority will have evidence that it included each adjusted “Pseudo-tie” for Implemented Interchange in the Net *Actual* Interchange between Adjacent Balancing Authorities, after the completion of the operating hour, as specified in WR8. Evidence may include, but is not limited to, production of tags reflecting the prescribed WR8 criteria.

## Version History

| **Version** | **Date** | **Action** | **Change Tracking** |
| --- | --- | --- | --- |
| 1 | December 20, 2006 | Initial Version | Initial Version |
| 1 | June 14, 2007 | Operating Committee Approved | Initial Version |
| 1 | August 31, 2009 | Designation change from “BPS” to “CRT” format. | Designation change |
| 1 | September 5, 2012 | WECC Board of Directors changed designation from “CRT” to “RBP.” | Designation change |
| 1 | June 26, 2013 | Implement WECC Board of Directors approval from June 2012 Board meeting. | Updated as part of INT Rewrite Project.  Retire INT-008-WECC-RBP-1. Retire INT-017-WECC-RBP-1, WECC Requirements WR1 and WR2. The substance of WR1 was moved to INT-016-WECC-RBP-2, Data Submittal. The substance of WR2 is included in INT-008-WECC-RBP-2. |
| 2 | June 26, 2013 | WECC Board of Directors Approved | Developed as WECC-0087. Effective date July 1, 2013 |
| 2.1 | July 19, 2013 | Erratum | Changed “CRT” annotation to “RBP” in Section A.2 |
| 2.1 | June 25, 2014 | WECC Board of Directors changed designation from “RBP” to “CRT.” | Designation change |
| 2.1 | April 1, 2016 | No change | Converted to new template |
| 3 | December 5, 2018 | WECC Board of Directors approved | Developed as WECC-0132.  Changes for Version 3 include:  1) Updated Title, 2) Purchasing-Selling Entity was replaced with NRE (NAESB Registered Entity), references throughout were updated, 3) Transmission Service Provider (TSP) was deleted from the Applicability section as the TSP has no assigned tasks, 4) Version 2.1, WR2 and its Rationale section were deleted; addressed in Version 3 WR5 and WR6, 5) Version 3, WR8 was added addressing Pseudo-ties to mimic Version 3, WR7 addressing Dynamic Schedules, 6) Rationale section changed to explain the use of proper nouns, NRE, and data origins, 8) links were deleted, 9) reference to the NERC Dynamic Transfer Reference Guideline was deleted, 10) Rationale section WR1, use of lower case “dynamic” and “pseudo-tie” was changed to uppercase “Dynamic Schedule” and “Pseudo-tie,” 11) Rationale section for WR5 was added, 12) Rationale section WR6 added “energy” after the phrase “submit updates to the Dynamic Transfer…,” 13) enhanced syntax throughout, and 14) Measures were updated accordingly. |
| 3.1 | June 18, 2019 | Errata | Converted to newest template.  In Version 3.1: 1) North American Energy Standards Board, Net Scheduled Interchange, and Transmission Service Provider were spelled out for first use, 2) “Authority” was added after the word “Balancing” (WM3), 3) capitalization was corrected from “Pseudo-Tie” to “Pseudo-tie,” throughout, and 4) Version History syntax was corrected. |
| 3.2 | December 3, 2019 | Errata | Footnote one was added stating, “The term “NRE” refers to entities registered in the NAESB Electronic Industry Registry (EIR).” |

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## Attachments

Not Used

## Rationale

### Proper Nouns

This document addresses RFIs. In general, the detailed specifications for creation, development, modification, and treatment of RFIs is addressed by the North American Energy Standards Board (NAESB). Numerous proper nouns are used in the NAESB e-Tag specification. This WECC Criterion recognizes the following NAESB proper noun(s):

* Tag Author
* Transaction Type
* “Dynamic Schedule”[[11]](#footnote-11)
* “Pseudo-tie”

Specifically, Dynamic Schedule and Pseudo-Tie appear in both the NERC Glossary of Terms Used in Reliability Standards (NERC Glossary) plus certain NAESB documents supporting interchange transactions. For purposes of this document, where these two terms are used in quotation marks, the NAESB meaning for the proper noun is intended with no further attempt to define these terms; otherwise, the definitions default to the NERC Glossary.

Similarly, the interchange software tool used to facilitate RFIs currently functions under the auspice of the Open Access Technology International, Inc. (OATI).

* The OATI term “WECC Interchange Tool (WIT)” is adopted as a proper noun without further definition.

### Applicability Section

In the previous version of this WECC Criterion (2.1), the Purchasing-Selling Entity (PSE) was used in the Applicability section. However, when WECC adopted NERC’s Standard Voting Segments,[[12]](#footnote-12) the PSE specifically lost its right to vote on WECC Standards and WECC Criterion. Thus, the PSE was eliminated from the Applicability section of this WECC Criterion in favor of a newly created Applicable Entity designated as the NAESB Registered Entity (NRE). This allowed the PSE to retain its voting right, so long as the PSE is registered in one of the Standard Voting Segments contained in the WECC Reliability Standards Development Procedures (Procedures).[[13]](#footnote-13)

The TSP was removed from the Applicability section of this version because it has no assigned tasks.

### Overview

This document defines the requirements for creating and updating e-Tags for Dynamic Transfers in the WECC, with the goal of addressing portions of the overuse of transmission rights as voiced by the Federal Energy Regulatory Commission (FERC) in Order 890, paragraphs 834 through 838. There, FERC stated that scheduled interchange should only use the transmission service that the transmission customer had secured, and in cases of excess use of rights, unreserved use penalties should act as an incentive for transmission customers to secure transmission rights.

Specifically, this document instructs the Applicable Entities (Section 4 Applicability) to:

* Specify dynamic or pseudo-tie fields;
* Communicate the data;
* Use the data;
* Implement the associated Interchange;
* Exclude Implemented Interchange from NSI; and
* Include adjusted Implemented Interchange from NSI.

### Requirement WR1

In WR1, the Applicable Entity is instructed to specify the e-Tag’s transaction type as either a “Dynamic Schedule” or a “Pseudo-tie” in order to properly identify the transaction as a Dynamic Transfer. WR1 provides clear information to all Balancing Authorities, reducing the possibility of erroneous labeling of the Transaction Type on the e-Tag. This prevents duplication of the schedule.

This designation also informs the reviewer how to treat the transaction with specific emphasis on the appropriate inclusion and exclusion of the Dynamic Schedule or Pseudo-tie into the Balancing Authority’s Area Control Error equation.

It should be noted for purposes of WR1 that, where a transfer does not cross a Balancing Authority Area boundary, that transaction does not classify as a pseudo-tie for purposes of this document; therefore, this document does not apply to that specific type of transfer.

A Balancing Authority must be aware that a Dynamic Transfer is being sourced, sunk, or wheeled into or through its Balancing Authority Area and must account for Dynamic Transfer(s) accordingly in its Area Control Error. As stated in NERC’s Dynamic Transfer Guideline, Dynamic Schedules are to be accounted for as interchange schedules by the source, sink, and contract intermediary Balancing Authority(ies), both in its respective Area Control Error equations and throughout its energy accounting processes.[[14]](#footnote-14)

### Requirement WR3

WR3 ensures Dynamic Transfers do not exceed the maximum transmission capacity stated in the RFI. It should not be the intention of the NRE to incur overuse penalties or charges by not securing adequate transmission capacity rights for the dynamic flow.

### Requirement WR4

WR4 requires that once all data is obtained, fields set, and data is used, the Applicable Entities implement the Interchange without exceeding either the transmission allocation profile or the reliability limit profile stated in the Confirmed Interchange. Since the maximum transmission capacity for each TSP is set in accordance with the TSP’s tariffs, the Applicable Entities must be aware of all transmission allocations from Source to Sink so that dispatch signals do not exceed the most limiting transmission allocation profile.

WR4 is designed to assist the Balancing Authority in calculating its NSI between Adjacent Balancing Authorities and it instructs those entities on when to include/exclude both Implemented and adjusted Implemented Interchange.

WR4 instructs exclusion of the Dynamic Schedule RFIs from the NSI prior to the operating hour; however, the Dynamic Schedule as it is used during the operating hour must be included in the NSI and averaged over the hour for after-the-fact accounting purposes. Since the dispatches during the hour can be as frequent as every few seconds, the RFI does not need to be updated until the completion of the current operating hour. This distinguishes the Dynamic Schedule from other types of schedules and prevents the dynamic component of NSI from being included with the tagged static component of NSI. Further, by excluding the values until the end of the current operating hour, the industry is afforded a distinct time to establish values for purposes of settlement.

### Requirement WR5

WR5 answers the question, “Where does the data come from?” WR5 is intended to bring the parties together to agree upon a single data source from which numeric values will be obtained.

In WR5, the NRE, the Source Balancing Authority and the Sink Balancing Authority that are parties to an RFI for Dynamic Transfer are instructed to agree on which of those entities will update the Dynamic Transfer profile. This ensures consistency.

In the previous version of this WECC Criterion, parties were required to agree on a specific data source. That requirement (V2.1, Requirement WR2) was deleted in this version in favor of a mandate for a common data source included in NERC Standard BAL-005-1, Balancing Authority Control, effective date January 1, 2019. If NERC Standards cease to contain that mandate, this document should be considered for update. (See BAL-005-1, Rationale section, Requirement R7 for more background.)

WR5 does not supersede any other requirement in this document or any NERC Reliability Standard. The common data source of BAL-005-1 is not to be understood as superseding the maximum transmission allocation profile of the reliability limit profile established elsewhere in this document or peripheral NERC Standards.

### Requirement WR6

WR6 indicates that the three specified entities will agree upon which of the three will submit updates to the Dynamic Transfer energy profile, and as soon after the operating hour as possible, but in no case more than 60 minutes after the completion of the operating hour, will use the designated data.

It should be clearly noted that, prior to this occurrence, the affected entities must agree upon a single designated source from which the values will be gleaned. Having obtained the data from the designated data source, and having properly designated the software fields for purposes of Dynamic Scheduling, the Applicable Entities are instructed to use the designated values to submit updates to the Dynamic Transfer energy profile.

### Requirement WR7/WR8

The specified time windows identified in WR7/WR8 are designed to lock down the specified point in time at which values will be modified after-the-fact, and when the NSI updates will appear on the WECC Interchange Tool’s (or its successor) NSI between Balancing Authorities.

The Source and Sink Balancing Authorities would use this information to ensure the Dynamic Transfers do not exceed the maximum transmission capacity in the transmission allocation area of the RFI and that after-the-fact adjustments do not exceed the maximum capacity indicated.

# INT-009-WECC-CRT-3.1—Introduction

1. **Title: Capacity e-Tag Functionality**
2. **Number**: INT-009-WECC-CRT-3.1
3. **Purpose**: To define the functionality of a capacity type e-Tag transaction by creating a uniform set of capacity type e-Tag criteria for use with on-demand Spinning and Non-Spinning resources.
4. **Applicability**:
   1. **Functional** **Entities**:
      1. Any NAESB[[15]](#footnote-15) Registered Entity (NRE)[[16]](#footnote-16) that creates an e-Tag by submitting a Request for Interchange (RFI), (such as a Purchasing-Selling Entity, Load-Serving Entity, Generating-Serving Entity, or Balancing Authority).
      2. Balancing Authority
5. **Effective** **Date**: December 3, 2019

## Requirements and Measures

**WR1**. Each NRE (Tag Author) submitting an RFI for on-demand Spinning and Non-Spinning Reserve transactions shall specify each of the following:

1) Transaction type set to “capacity”;

2) Energy product type set to either “C-SP” for Spinning Reserves or “C-NS” for Non-Spinning Reserves;

3) Market Level profile set to any value between zero and the transmission allocation profile; and

4) Transmission allocation profile set to the maximum amount of energy that can be delivered on the transaction.

**WM1**. Each NRE will have evidence its RFIs met the criteria required in WR1. Evidence may include, but is not limited to, production of a capacity type e-Tag showing its status as having been implemented, in accordance with WR1.

**WR2**. Each Source Balancing Authority and each Sink Balancing Authority shall account for capacity reserves by using the difference between the Current Level profile and the lower of either the transmission allocation profile or the reliability limit profile.

**WM2**. Each Source Balancing Authority and each Sink Balancing Authority will have evidence that it accounted for reserves in the manner required in WR2. Evidence may include, but is not limited to, production of a capacity type e-Tag, impacting reserve accounting, showing its status as having been implemented, in accordance with WR2.

**WR3**. Each Sink Balancing Authority shall activate capacity reserves through the NRE (Tag Author) submitting a WECC capacity e-Tag, Market Level profile adjustment request with either a default start time ramp duration of zero or with a start time ramp duration that is agreed upon by all parties.

**WM3**. Each Sink Balancing Authority will have evidence that it activated capacity reserves using the prescribed method in WR3. Evidence may include, but is not limited to, production of an e-Tag adjustment indicating the activation of capacity reserves, the status of which shows the e-Tag has been implemented, in accordance with WR3.

**WR4**. Each Sink Balancing Authority shall activate capacity reserves through the NRE (Tag Author) submitting a WECC capacity e-Tag, Market Level profile adjustment request with a start time no more than 10 minutes prior to the submittal time.

**WM4**. Each Sink Balancing Authority will have evidence that, when activating capacity reserves, the WR4 criteria was used. Evidence may include, but is not limited to, production of the e-Tag reflecting activation of capacity reserves no more than 10 minutes prior to the submittal time, in accordance with WR4.

**WR5**. Each Source and each Sink Balancing Authority shall approve all WECC capacity e-Tag, Market-Level-only profile adjustment requests unless the adjustment exceeds the transmission allocation profile.

**WM5**. Each Source and each Sink Balancing Authority will have evidence that it approved its WECC capacity e-Tag, Market-Level-only profile adjustment requests, as required in WR5. Evidence may include, but is not limited to, production of an e-Tag showing the e-Tag was approved and implemented, in accordance with WR5.

## Version History

| **Version** | **Date** | **Action** | **Change Tracking** |
| --- | --- | --- | --- |
| 1 | March 6, 2008 | WECC Operating Committee Approved | Initial Version |
| 1 | May 20, 2008 | Clarification | WR4—clarified responsible party for identifying the Energy Product Code. WR5.1 changed to further clarify the intent of adjustments versus curtailments. WR5.2, the full convention naming of LSE with hyphen was inserted for clarity. |
| 1 | August 31, 2009 | Converted INT-BPS-009-1 into Regional Criterion format. | Format change |
| 2 | September 5, 2012 | WECC Board of Directors changed designation from “CRT” to “RBP.” | Designation change |
| 2 | March 13, 2013 | WECC Board of Directors Approved | Developed as WECC-0078.  Newly drafted under INT Rewrite Project |
| 2 | June 25, 2014 | WECC Board of Directors changed designation from “RBP” to “CRT.” | Designation change |
| 2.1 | January 28, 2016 | Errata | In the Applicability section, plurals were changed to singular. |
| 2.1 | April 1, 2016 | No Change | Converted to new template |
| 3 | March 6, 2019 | WECC Board of Directors Approved | Developed as WECC-0133.  Converted to newest template.  This project is the result of a five-year review making the following changes: 1) the Purchasing-Selling Entity was replaced with a newly created applicable entity, “Any NAESB Registered Entity (NRE),” 2) Measures were conformed to current drafting conventions, 3) the Rationale section was updated to explain why the NRE was introduced and how proper nouns were used, 4) Version History syntax was corrected. |
| 3.1 | December 3, 2019 | Errata | Footnote one was added stating, “The term “NRE” refers to entities registered in the NAESB Electronic Industry registry (EIR).” |

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## Attachments

Not Used

## Rationale

### Use of Capitalized Terms

This document addresses RFIs. In general, the detailed specifications for creation and treatment of RFIs is addressed by NAESB within the e-Tag specification or its successor. Numerous proper nouns are used in the NAESB e-Tag specification. This document recognizes the following proper nouns and does not address the definitions of those terms:

* Tag Author
* Current Level

### Applicable Entity

In this version of the WECC Criterion, the NRE has replaced the Purchasing-Selling Entity (PSE) used in the previous version. The Applicable Entity section now reads:

* 1. **Functional Entities:**
     1. Any NAESB[[17]](#footnote-17) Registered Entity (NRE) that creates an e-Tag by submitting a Request for Interchange (RFI), (such as a Purchasing-Selling Entity, Load-Serving Entity, Generating-Serving Entity, or Balancing Authority).
     2. Balancing Authority

Replacement of the PSE ensures an open and transparent standards/criteria process.

In 2017, WECC adopted the identical voting segments used by the North America Electricity Reliability Corporation (NERC). As a result, within NERC and WECC, the PSE is no longer recognized as a voting entity. If the PSE had been retained in this iterative document, the PSE would be required to perform the specified tasks without having the right to vote on those tasks. To remedy that concern, the tasks previously assigned to the PSE have been reassigned to the NRE. By using the NRE, the Applicability section assigns tasks to those entities commonly referred to as Tag Authors within NAESB, while retaining the right to vote by those entities within WECC.

### Requirements

WR1 allows the NRE, such as the Purchasing-Selling Entity, to show where spinning and non-spinning reserves are being held.

WR2 addresses how to account for e-Tag reserve components. WR2 is not a mandate prescribing how to calculate reserves.

The Current Level referenced in WR2 should not exceed the most limiting reliability event. This ensures that, once an e-Tag is reloaded by one entity, another entity such as a Balancing Authority or Transmission Service Provider does not need to initiate a curtailment to reset the reliability limit.

WR3 through WR5 outline how capacity reserves are activated and approved through an e-Tag adjustment.

The reference to “all” transactions in WR5 highlights inclusion of both inter- and intra-hour transactions.

# INT-011-WECC-CRT-4—Introduction

1. **Title:** **Ten-Minute Recallable e-Tag Functionality**
2. **Number**: INT-011-WECC-CRT-4
3. **Purpose**: To define the use of 10-minute recallable e-Tags as Operating Reserve—Supplemental (ORS)
4. **Applicability**: This document applies only to those entities whose market rules allow for 10-minute recallable transactions.
   1. **Functional** **Entities**:
      1. Any NAESB[[18]](#footnote-18) Registered Entity (NRE)[[19]](#footnote-19), such as a Purchasing-Selling Entity, Load-Serving Entity, Generating-Serving Entity, or Balancing Authority, that creates an e-Tag by submitting an RFI.
      2. Balancing Authority
5. **Effective** **Date**: January 1, 2020

## Requirements and Measures

1. Each NRE (Tag Author) submitting a Request for Interchange (RFI) for 10-minute recallable transactions for ORS shall specify each of the following:
2. Transaction type option set to “recallable”;
3. Both the source point and sink point are located within the Western Interconnection;
4. Energy product type option in the first segment of the market path set to “C-RE.”
   1. Each NRE (Tag Author) submitting an RFI for 10-minute recallable transactions for ORS will have evidence that it specified in that request each of the items required in WR1.
5. Each NRE and each Source Balancing Authority activating 10-minute recallable transactions for ORS shall decrease the market level profile through an adjustment to the electronic tag to include each of the following characteristics:
6. A start time that is no earlier than 10 minutes prior to the submittal time.
7. A default start time ramp duration of zero minutes.
   1. Each NRE and each Source Balancing Authority submitting an adjustment to a 10-minute recallable transaction for ORS will have evidence that it submitted a downward e-Tag market level profile adjustment for that activation and that the e-Tag included each of the characteristics required in WR2.
8. Each Source Balancing Authority and each Sink Balancing Authority receiving a market level profile adjustment request for a 10-minute recallable transaction shall approve the request.
   1. Each Source Balancing Authority and each Sink Balancing Authority receiving a market level profile adjustment request for a 10-minute recallable transaction will have evidence that, upon receipt of a downward e-Tag market profile adjustment for 10-minute recallable transactions, it approved the request as required in WR3.

Where an entity has not received a request per WR3, a written statement to that effect is sufficient to show adherence.

## Version History

|  |  |  |  |
| --- | --- | --- | --- |
| Version | Date | Action | Change Tracking |
| 1 | March 6, 2008 | Approved by the Operating Committee | Initial |
| 1 | August 31, 2009 | Converting current approved Business Practice (INT-BPS-011-1) into new Regional Criterion format—no other changes are being submitted | No other change |
| 2 | September 5, 2012 | WECC Board of Directors changed designation from “CRT” to “RBP.” | Designation change |
| 2 | March 13, 2013 | WECC Board of Directors Approved | Developed as WECC-0079. Redraft as part of the INT Rewrite Project |
| 2 | June 25, 2014 | WECC Board of Directors changed designation from “RBP” to “CRT.” | Designation change |
| 3 | June 24, 2015 | WECC Board of Directors Approved | Developed as WECC-0110. Align the INT with BAL-002-WECC-2, Contingency Reserve |
| 3 | April 1, 2016 | Errata | Converted to new template |
| 3.1 | June 18, 2019 | Errata | Converted to newest template  Version Table for Version 3 changed from “No change” to “Errata” for uniformity. |
| 4 | December 4, 2019 | WECC Board of Directors Approved | In Version 4: 1) the title was shortened, 2) “BAM” was replaced with NAESB NRE throughout, 3) footnotes were added to explain NAESB and NRE, 4) “in the first segment of the market path” was added to WR1.3., 5) syntax was clarified in WR2, 6) “BA” was replaced with “Balancing Authority” as needed, 7) “downward” was deleted in WR3/WM3 and replaced with “market level profile,” 8) a “Use of Capitalized Terms” section was added to the Rationale section. |

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## Attachments

Not used.

## Rationale

A Rationale section is optional. If Rationale Boxes were used during the development of this project, the content of those boxes appears below.

### Use of Capitalized Terms

Detailed specifications for creation and treatment of requests for interchange are addressed by NAESB within the e-Tag specification or its successor. This document recognizes the following proper nouns used by NAESB and does not address the definition of those terms:

* e-Tag
* Tag Author
* Generating-Serving Entity

WR1 instructs each NRE (Tag Author) on information required to indicate that the RFI is a 10-minute recallable transaction for ORS.

WR2 recognizes that the NRE (Tag Author) or the Source Balancing Authority may request an adjustment to the market level profile of a 10-minute recallable transaction for ORS.

WR3 ensures that the adjustments to 10-minute recallable transactions are approved.

# INT-016-WECC-CRT-4—Introduction

1. **Title: Data Submittal**
2. **Number**: INT-016-WECC-CRT-4
3. **Purpose**: To ensure an e-Tag is created for all Requests for Interchange (RFI), less than 60 minutes in duration.
4. **Applicability**:
   1. **Functional** **Entities**:
      1. Balancing Authority
5. **Effective** **Date**: December 6, 2022

## Requirements and Measures

1. Each Balancing Authority meeting either of the following criteria shall electronically submit the RFI to the interchange software:

* A Balancing Authority submitting an RFI not otherwise required under a North American Electric Reliability Corporation (NERC) Standard or a North American Energy Standards Board (NAESB) Business Practice Standard; (or),
* A Balancing Authority that has experienced a loss of resources affecting Net Scheduled Interchange.
  1. Each Balancing Authority meeting either of the criteria specified in WR1 will have evidence that it submitted the RFI as required.

## Version History

|  |  |  |  |
| --- | --- | --- | --- |
| Version | Date | Action | Change Tracking |
| 0 | April 10, 2007 | Initial Tracking | INT-BPS-016-0 |
| 0 | May 1, 2007 | Operating Committee Approved | Initial version |
| 1 | August 31, 2009 | Converting current approved Business Practice into a new regional Criterion format—no other changes are being submitted | Designation change |
| 2 | June 25, 2012 | WECC Board of Directors Approved | Developed as WECC-0082. Updated as part of INT Rewrite Project |
| 2 | July 1, 2012 | Effective Date  INT-016-WECC-CRT-1 was retired by the WECC Board of Directors as of the Effective Date of INT-016-WECC-CRT-2. | Designation change  The substance of INT-016 and 017 were combined into this document. WR2 of INT-017-WECC-CRT-1 has been removed and is under development in INT-008-WECC-CRT-2 as of July 1, 2012. |
| 2 | September 5, 2013 | WECC Board of Directors changed designation from “CRT” to “RBP” | Designation change |
| 2 | June 25, 2014 | WECC Board of Directors changed designation from “RBP” to “CRT.” | Designation change |
| 2.1 | January 28, 2016 | Errata | Numbered items in WR1 were changed to bulleted items. Numbered items indicate an all-inclusive set; bullets indicate either/or. |
| 2.1 | April 1, 2016 | No Change | Converted to new template |
| 3 | June 21, 2017 | WECC Standards Committee for approval | Developed as WECC-0121B. Five-year review. The project: 1) eliminated the Purchasing-Selling Entity (PSE) from the Applicability section in favor of the Balancing Authority, 2) deleted WR2/WM2, and 3) included a Guidance Section entry explaining the generic use of the term “interchange software.” |
| 3 | December 6, 2017 | WECC Board of Directors approved | Developed as WECC-0121B. The first day of the second quarter following WECC Board of Director approval. Effective date April 1, 2018. |
| 3.1 | June 18, 2019 | Errata | Converted to newest template.  In Version 3.1: 1) NERC and NAESB are spelled out for first use, 2) “submitting a RFI” was replaced with “submitting an RFI,” throughout, 4) hyphens were removed from “60-minutes,” and 5) Version History syntax was corrected. |
| 4 | December 6, 2022 | WECC Standards Committee (WSC) approved Non-Substantive change | Developed as WECC-0152. WSC approved the following Non-Substantive changes: 1) Rationale section replaced Peak Reliability with reference to the ATFWG and Reliability Coordinator West (RC West). |

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## Attachments

Not used.

## Rationale

A Rationale section is optional. If Rationale Boxes were used during the development of this project, the content of those boxes appears below.

### The Generic use of “Interchange Software”

Throughout the Western Interconnection, the interchange software used is colloquially known as the WECC Interchange Tool (WIT), even though WECC neither owns, controls, nor contracts for the software package. The interchange software currently falls under the purview of the After The Fact Work Group (ATFWG) and under contract with Reliability Coordinator West (RC West). Further, there is an expanded spectrum of the services offered under the contract that are not specifically contemplated in this document.

This document recognizes that the name and attributes of the interchange software, its origin, and its vendor will change from time-to-time. To ensure the viability of this document, the generic term “interchange software” has been used instead of the specific name (proper noun) of the interchange software package.

It is this interchange software package that is used to authorize the implementation of valid and balanced Interchange Schedules between Balancing Authority Areas, and ensures communication of Interchange information for reliability assessment purposes.

### WR1

WR1 establishes the entity responsible for submitting an RFI less than 60 minutes in duration. Thereafter, it ensures the designated entity submits the transaction electronically thereby allowing the interchange software tool to perform its tasks in an automated fashion.

# INT-018-WECC-CRT-3—Introduction

1. **Title: Western Interconnection (WI) e-Tag Energy Product Codes**
2. **Number**: INT-018-WECC-CRT-3
3. **Purpose**: To identify specific e-Tag product codes used in the WI
4. **Applicability**:
   1. **Functional** **Entities**:
      1. Any NAESB[[20]](#footnote-20) Registered Entity (NRE)[[21]](#footnote-21) that creates an e-Tag by submitting a Request for Interchange (RFI) (such as a Purchasing-Selling Entity, Load-Serving Entity, Generating-Serving Entity, or Balancing Authority).
5. **Document Specific Definitions**:
   1. See Attachment A—Energy Product Codes.
6. **Effective** **Date**: March 7, 2023

## Requirements and Measures

1. Each NRE (Tag Author) submitting an electronic tag (e-Tag) within the WI shall use one of the following energy product codes in the first segment of the market path to describe the energy transaction type. (See Attachment A—Energy Product Codes.)

* G-F: Firm Energy
* G-NF: Non-firm Energy
* G-FC: Firm Contingent
* G-FP: Firm Provisional Energy
* G-F1: Hourly Firm Energy
* G-EX: Exchange of Firm Energy
* C-SP: Capacity for Spinning Reserve
* C-NS: Capacity for Non-Spinning Reserve
* C-RE: Capacity Associated with Energy Recallable for Reserves

**WM1.** Each NRE (Tag Author) that authors an e-Tag within the WI will have evidence that it used the energy product codes in the first segment of the market path to describe the energy transaction type, as defined in Attachment A—Energy Product Codes.

## Version History

|  |  |  |  |
| --- | --- | --- | --- |
| Version | Date | Action | Change Tracking |
| 0 | August 3, 2007 | Initial version | Initial version |
| 0 | November 16, 2007 | Market Interface Committee Approved | Initial version |
| 0 | December 6, 2007 | WECC Board of Directors Approved | Initial version |
| 0 | January 22, 2008 | Designation change | Designation changed from INT-BPS—WECC-004-0 to INT-BPS-018-0. |
| 0 | September 5, 2012 | WECC Board of Directors Approved | Designation changed from “CRT” to “RBP.” |
| 0 | June 25, 2014 | WECC Board of Directors Approved | Designation changed from “RBP” to “CRT.” |
| 1 | September 18, 2014 | WECC Board of Directors Approved | Developed as WECC-0106. Defined terms moved to the glossary.  Language updated to Version 1 styles. The word “accurately” was removed from WECC Requirement WR1. To be effective the first day of the second quarter after approval. |
| 1.1 | March 10, 2015 | Errata | “WECC Glossary for Criteria and WECC Regional Business Practices and Naming Convention” corrected to “WECC Glossary for Terms and Naming Conventions.” |
| 1.2 | January 28, 2016 | Errata | The phrase “WECC Glossary *for* Terms and Naming Conventions” changed to “WECC Glossary *of* Terms and Naming Conventions” to match the name of the glossary. (emphasis added) The letter “W” was added in front of R1 in the Measure for WECC Requirement WR1. |
| 1.2 | April 1, 2016 | No Change | Converted to new template |
| 1.3 | June 18, 2019 | Errata | Converted to newest template. Measure M1, “shall” changed to “will.” |
| 2 | December 4, 2019 | WECC Board of Directors Approved | Developed as WECC-0137. In Version 2, 1) titles were shortened, 2) the “NRE” entity replaced the Broker/Aggregator/Marketer and a descriptive footnote added, 3) WR1/WM1 syntax was clarified, 4) descriptive narratives were added to the Rationale section to include, (a) “Use of Capitalized Terms from the NAESB Glossary,” (b) Use of Capitalized Terms from the WECC Glossary” and (c) “Applicable Entity” in the Rationale section. |
| 3 | March 7, 2023 | WECC Standards Committee | On March 7, 2023, the WECC Glossary of Terms Used in WECC Criteria (Glossary) was retired, and all document-specific definitions were moved into their associated WECC Criteria. Definitions for energy product codes used in e-Tags were incorporated as Attachment A—Energy Product Codes. Section 5., Document Specific Definitions, WR1/WM1, and Rationale—Use of Capitalized Terms were adjusted to reflect the change. Reference to INT-018-WECC-CRT-2, WR2 in the above row was deleted as that version did not have a WR2. |

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## Attachment A

### Energy Product Codes

| **Term** | **Original Approval Date** | **Approved Definition** | **Term Origin** |
| --- | --- | --- | --- |
| Capacity Associated with Energy Recallable for Reserves | September 18, 2014 | C-RE: Capacity Associated with Energy Recallable for Reserves.  This product is energy that is recallable within 10 minutes of activation of reserves and has been included in the Source Balancing Authority’s reserve resources. | INT-018-WECC-CRT-1.2 |
| Capacity for Non-Spinning Reserve | September 18, 2014 | C-NS: Capacity for Non-Spinning Reserve.  On-demand Non-Spinning Reserve obligation/resource is a Non-Spinning Reserve product that can be activated through the adjustment of a capacity e‑Tag. | INT-018-WECC-CRT-1.2 |
| Capacity for Spinning Reserve | September 18, 2014 | C-SP: Capacity for Spinning Reserve.  On-demand Spinning Reserve obligation/resource is a Spinning Reserve product that can be activated through the adjustment of a capacity e-Tag. | INT-018-WECC-CRT-1.2 |
| Exchange of Firm Energy | September 18, 2014 | G-EX: Exchange of Firm Energy.  An exchange of firm energy where one entity delivers energy to another entity at one point on the grid and receives an agreed upon amount of energy from that entity at another point on the grid. | INT-018-WECC-CRT-1.2 |
| Firm Contingent | September 18, 2014 | G-FC: Firm Contingent.  The energy is from a designated generating unit or source. This product may be interrupted only to the extent the output capability of the designated unit or source has been reduced due to a deration or outage of the designated unit or source. A G-FC product cannot be interrupted for economic reasons. | INT-018-WECC-CRT-1.2 |
| Firm Energy | September 18, 2014 | G-F: Firm Energy.  This product may be curtailed only in the event of a reliability condition or to meet seller’s public utility or statutory obligations for reliability of service to native load. A G-F product cannot be interrupted for economic reasons. | INT-018-WECC-CRT-1.2 |
| Firm Provisional Energy | September 18, 2014 | G-FP: Firm Provisional Energy.  This product may be interrupted only if the interruption is within the recall time and for conditions allowed by applicable provisions governing interruption of service, as mutually agreed to by the parties. A G-FP product cannot be interrupted for economic reasons. | INT-018-WECC-CRT-1.2 |
| Hourly Firm Energy | September 18, 2014 | G-F1: Hourly Firm Energy.  This product may be interrupted, consistent with the provisions of the transaction, provided the Purchasing-Selling Entity or Load-Serving Entity receives notification of the interruption 40 minutes or more prior to the start of the operating hour. A G-F1 product cannot be interrupted for economic reasons. | INT-018-WECC-CRT-1.2 |
| Non-Firm Energy | September 18, 2014 | G-NF: Non-firm Energy. This product may be interrupted for any reason or no reason, without liability on the part of either the buyer or seller. | INT-018-WECC-CRT-1.2 |

## Rationale

A Rationale section is optional. If Rationale Boxes were used during the development of this project, the content of those boxes appears below.

### Use of Capitalized Terms from the NAESB Glossary

Detailed specifications for creation and treatment of requests for interchange are addressed by NAESB within the e-Tag specification or its successor. This document recognizes the following proper nouns used by NAESB and does not address the definition of those terms:

* e-Tag
* Tag Author

#### Use of Capitalized Terms from the WECC Glossary of Terms Used in WECC Criteria (Retired March 7, 2023)

As approved September 18, 2014, the definition for Capacity for Non-Spinning Reserve and Capacity for Spinning Reserve both used the capitalized term “On Demand.” Version 2 of this criterion corrected the use to lower case as the term is not defined.

As approved September 18, 2014, the definition for Firm Energy used the capitalized NAESB term “Seller.” Version 2 of this criterion corrected “Seller” to lower case.

## Applicable Entity

Adoption of the NRE aligns this document with other WECC Criterion.[[22]](#footnote-22)

# INT-020-WECC-CRT-3—Introduction

1. **Title: Interchange Schedule Backup Process**
2. **Number**: INT-020-WECC-CRT-3
3. **Purpose**: The purpose of this document is to establish a coordinated backstop scheduling process that protects the reliability of the grid during failure of the WECC Interchange Tool (WIT) preventing the distribution of e-Tag information.
4. **Applicability**:
   1. **Functional** **Entities**:
      1. Reliability Coordinators
      2. Balancing Authorities
      3. Transmission Service Providers
5. **Effective** **Date**: June 15, 2021

## Requirements and Measures

1. Each Transmission Service Provider shall maintain a record of the transactions on its paths for the current hour plus the next four consecutive hours.
   1. Each Transmission Service Provider will have a record of all transactions on its paths for the hour reviewed in accordance with WR1 plus the following four consecutive hours.
2. Each Balancing Authority shall maintain a record of its interchange schedules for the current hour plus the next four consecutive hours.
   1. Each Balancing Authority will have a record of all of its interchange schedules for the hour reviewed in accordance with WR2 plus the following four consecutive hours.
3. Each Balancing Authority shall maintain a duplicate copy of its aggregate Net Scheduled Interchange (NSI) as previously confirmed with the WIT for the current hour plus the next four consecutive hours.
   1. Each Balancing Authority will have evidence that it retained a duplicate copy of its aggregate NSI as previously confirmed with the WIT for the hour reviewed in accordance with WR3 and the following four consecutive hours.
4. Each Balancing Authority shall store a duplicate copy of its aggregate NSI on a storage system independent from the WIT.
   1. Each Balancing Authority will have evidence that a duplicate copy of its aggregate NSI is stored on a storage system independent from the WIT, per WR4.
5. Each Balancing Authority shall report atypical operation of the WIT to the Reliability Coordinator and the WIT provider within 15 minutes of that determination, or in accordance with the reporting times established by the WIT provider, whichever is longer.
   1. Each Balancing Authority will have evidence of reports made per WR5. Evidence may include, but is not limited to, any electronic or hard copy documentation between the parties containing the prescribed report and the time windows associated with that communication.

For purposes of WR5, what constitutes atypical operation of the WIT is interchange software performance other than that which the software was designed to create. (Please see Guidance Section.)

1. Each Reliability Coordinator shall notify its Balancing Authorities within 15 minutes of confirming that the WIT has failed, and again within 15 minutes of receiving notification that operation of the WIT has been restored.
   1. Each Reliability Coordinator will have evidence that it notified its Balancing Authorities within 15 minutes of confirming WIT failure, and again within 15 minutes of receiving notification that operation of the WIT has been restored.

**The following requirements only apply during failure of the WIT within the Western Interconnection.**

1. Each Balancing Authority shall operate to the most recent duplicate copy of its aggregate NSI as last confirmed with the WIT (net of all individual adjacent NSIs).
   1. Each Balancing Authority will have evidence that it operated to the data contained in its most recent duplicate copy of its aggregate NSI as last confirmed with the WIT (net of all individual adjacent NSIs) or as adjusted by changes allowed in this criterion for each specified period covered during WIT failure, per WR7.
2. Each Balancing Authority shall exhaust all of its internal and dynamically scheduled resources and all of its external pseudo-tied resources before requesting new interchange.
   1. Each Balancing Authority will have evidence that it exhausted all of its internal and dynamically scheduled resources and all of its external pseudo-tied resources before it requested a new interchange for each specified period covered during WIT failure, per WR8.
3. Each Sink Balancing Authority shall implement new interchange transactions when necessary to maintain load-to-generation balance, reserve requirements, or to maintain reliability.
   1. Each Sink Balancing Authority will have evidence that it implemented new interchange transactions that were necessary to maintain load-to-generation balance, reserve requirements, or to maintain reliability, for each specified period covered during WIT failure, per WR9.
4. Each Sink Balancing Authority shall create new interchange by verbally communicating and confirming with the Source Balancing Authority and the Transmission Service Providers that are parties to the transaction.
   1. Each Sink Balancing Authority will have evidence that the Sink Balancing Authority created and confirmed new interchange with the Source Balancing Authority and the Transmission Service Providers that were parties to the newly created transaction for each specified period covered during WIT failure, per WR10.
5. Each Sending Balancing Authority that is a party to the newly created interchange transaction shall verbally communicate and confirm with each Receiving Balancing Authority and Transmission Service Provider involved in the transaction created by the Sink Balancing Authority.
   1. Each Sending Balancing Authority that is a party to the newly created interchange transaction will have evidence that it communicated and confirmed the newly created interchange transaction with each Receiving Balancing Authority and Transmission Service Provider involved in the transaction created by the Sink Balancing Authority for each specified period covered during WIT failure, per WR11.
6. Each Balancing Authority shall verbally communicate upward adjustments to existing non-dynamic transactions with each Balancing Authority and each Transmission Service Provider that is a party to the transaction, as if those adjustments were a new transaction.
   1. Each Balancing Authority will have evidence that upward adjustments to existing non-dynamic transactions were arranged by verbally communicating with each Balancing Authority and each Transmission Service Provider that is a party to the transaction, as if the upward adjustment were a new transaction, for each specified period covered during WIT failure, per WR12.
7. Each Balancing Authority and each Transmission Service Provider shall verbally communicate downward adjustments or curtailments to existing non-dynamic transactions with each Balancing Authority and each Transmission Service Provider that is a party to the transaction.
   1. Each Balancing Authority and each Transmission Service Provider will have evidence that downward adjustments or curtailments to existing non-dynamic transactions were arranged by verbally communicating with each Balancing Authority and each Transmission Service Provider that is a party to the transaction for each specified period covered during WIT failure, per WR13.
8. Each Balancing Authority and each Transmission Service Provider shall complete the Transaction Data Template (Attachment A) for each new transaction.
   1. Each Balancing Authority and each Transmission Service Provider will have evidence that it completed the Transaction Data Template (Attachment A) for each new transaction created during each specified period covered during WIT failure, per WR14.

**The following requirements only apply after restoration of the WIT within the Western Interconnection.**

1. Each Sink Balancing Authority shall submit or cause to have submitted an After the Fact (ATF) e-Tag, after termination of each WIT failure and before the end of the ATF e‑Tag submission deadline, for each transaction implemented during each WIT failure.
   1. Each Sink Balancing Authority will have evidence that it submitted an ATF e‑Tag, after termination of each WIT failure and prior to the end of the ATF submission deadline, for each transaction implemented during each WIT failure, per WR15.
2. Each Sink Balancing Authority shall update or cause to have updated its dynamic e-Tags, after termination of each WIT failure and before the end of the dynamic e-Tags adjustment deadline, using the actual interchange values that occurred during the WIT failure.
   1. Each Sink Balancing Authority will have evidence that it updated or caused to be updated its dynamic e-Tags, after termination of the WIT failure and before the end of the dynamic e-Tag adjustment deadline, using the actual interchange values that occurred during the WIT failure, per WR16.

## Version History

|  |  |  |  |
| --- | --- | --- | --- |
| Version | Date | Action | Change Tracking |
| 1 | June 10, 2010 | Operating Committee Approved | Developed as WECC-0054. Initial version |
| 1 | July 29, 2010 | WECC Board of Directors Approved | Initial version |
| 1 | September 5, 2012 | WECC Board of Directors changed designation from “CRT” to “RBP” | Designation change |
| 1.1 | January 16, 2013 | Errata | In Attachment A, the word “Western” was changed to “WECC” in regard to the “WECC Interchange Tool.” In the Purpose statement, the term “Criterion” was changed to “document.” Attachment A was reformatted from landscape to portrait. Conformed to current template. |
| 1.1 | June 25, 2014 | WECC Board of Directors changed designation from “RBP” to “CRT” | Designation change |
| 1.2 | January 28, 2016 | Errata | “WIAB” was added to the title to match the header. In Attachment A, references to INT-020-WECC-RBP-1 and its location on the WECC websites were removed as they are out of date. Reference to the project tracking number was removed from the attachment and relocated into the document header to match the current template. |
| 2 | June 21, 2017 | WECC Board of Directors Approved | Developed as WECC-0118. This project: 1) deleted defined terms “Interchange Authority Emergency” and “Interchange Authority Software Provider,” 2) deleted the Purchasing-Selling Entities from the Applicability section, and 3) added a Guidance section to address the generic terms for software. Replaces Interchange Tool (WIT) Checkout. |
| 2.1 | June 18, 2019 | Errata | Converted to newest template.  In Version 2.1: 1) WM3 “Net Scheduled Interchange” was abbreviated, 2) apostrophes were eliminated from “NSI’s,” 3) unnecessary commas were removed from WM10, WM11, WM13, WR15 and the Guidance section, 4) quotations were added to “Interchange Software,” 5) dashes were removed from “time-to-time,” and 6) Version History syntax was corrected. |
| 3 | June 15, 2021 | WECC Standards Committee approved with no further due process pending. All changes were deemed non-substantive. | Developed as WECC-0144. The following changes were made: 1) syntax of the Purpose statement and WM4 was updated for readability, 2) “interchange software” was replaced with “WECC Interchange Tool” (WIT), 3) WR8/WM8 were updated clarifying that the requirement addresses “resources and all of its external pseudo-tied resources,” 4) “aft” was replaced with “ATF,” 5) “tag” was replaced with e-Tag, 5) “fax,” “WECC Reserves Requirements Entity” and “WECC Reserve Requirements Percent” were deleted from Attachment A., 6) Generic Use of the Term “Interchange Software” was deleted from the Guidance Section. |

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## Attachments

### Attachment A

**Transaction Data Template  
WIT Authority Back-Up (WIAB)**

TagID: DateTime Prepared: TimeZone:

StartDate: StopDate: Transaction Type:

Contact: Phone: \_\_\_\_

Comment:

Generation

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Source BA | GEN PSE | SourcePoint | MW Point | Contract | GenProduct |
|  |  |  |  |  |  |

Transmission Segments

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| S. Entity | TransOwner | POR | POD | MW Profiles | TP | TransProduct | Oasis Number |
|  |  |  |  |  |  |  |  |

Load

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Sink BA | Load PSE | Sink Point | Product | Contracts |
|  |  |  |  |  |

Market Path

|  |  |  |  |
| --- | --- | --- | --- |
| PSE | Product | Contract | Misc. |
|  |  |  |  |

Expanded Energy Profiles

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| StartDate | StartTime | StopDate | StopTime | Cur.MW | RampDur |  |
|  |  |  |  |  |  |  |

Stacked Transmission Allocation Profiles

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TP: | | | | POR: | | | POD: | | |
| StartDate | StartTime | StopDate | StopTime | | MWLevel |  | | TC | Oasis# |
|  |  |  |  | |  |  | |  |  |

## Rationale

A Rationale section is optional. If Rationale Boxes were used during the development of this project, the content of those boxes appears below.

### Requirement WR5 / Measure WM5

WR5 is designed to create an early warning system that incorporates the variable of informed judgment. Ideally, this communication loop enhances the ability to identify and remedy interchange software anomalies before they result in complete software failure. To accommodate this variable, the standard-of-review threshold for adherence to WR5 is to be based on the knowledge and experience of the specific software user at the time the determination was made.

Requirement WR5, and particularly the associated Measure WM5, also recognize that although the typical or designed performance of the software can objectively be measured by comparison to the software’s specifications: 1) not all users will have the same knowledge depth regarding the software’s performance specifications, and 2) the specific software provider may have its own established communication protocols through which a suspected failure or observation of atypical performance should be reported. In the latter case, the Requirement is designed to default to the reporting time windows of the software provider should the reporting time windows exceed the prescribed 15-minute window.

Unlike WR5 that is designed for early warning, WR6 addresses confirmed failure of the WIT. The 15-minute window was added to Version 2 to ensure a timely dissemination of information.

# INT-021-WECC-CRT-3—Introduction

1. **Title**: **WECC Interchange Tool (WIT) Checkout Confirmation**
2. **Number**: INT-021-WECC-CRT-3
3. **Purpose**: To provide WECC Balancing Authorities with an auditable means of confirming Net Scheduled Interchange (NSI) and Net Actual Interchange (NAI). The WECC Interchange Tool (WIT) or successor electronic confirmation tool will serve as the primary means for confirmation and will be treated as the final record of NSI and NAI under normal operating conditions.
4. **Applicability**:
   1. **Functional Entities:**
      1. Balancing Authority
5. **Effective** **Date**: June 15, 2023

## Requirements and Measures

1. Each Balancing Authority shall use the WECC Interchange Tool (WIT) as the primary means to confirm common Net Scheduled Interchange (NSI) and Net Actual Interchange (NAI), except when the WIT is not available.
   1. Each Balancing Authority will have evidence that it used the WIT as the Balancing Authority’s primary means of common NSI and NAI confirmation, except when the WIT is not available, as required in Requirement WR1.
2. Each Balancing Authority shall confirm its common NSI with each Adjacent Balancing Authority at the following times:
3. Prior to implementing the energy profile of the aggregated Confirmed Interchange, and
4. After the operating hour.
   1. Each Balancing Authority will have evidence that it confirmed its common NSI with each Adjacent Balancing Authority at the times required in Requirement WR2. Evidence may include, but is not limited to, the confirmation audit log provided by the WIT. Bullet 2 is not to be interpreted to conflict with the allowance of After the Fact settlement.
5. Each Balancing Authority shall provide to the WIT hourly NAI data, equal in magnitude and opposite in sign or direction, for each of its Adjacent Balancing Authorities no later than the end of the current hour for the last hour in which the energy flowed.
   1. Each Balancing Authority will have evidence that hourly NAI data was submitted to the WIT for each of its Adjacent Balancing Authorities, as required in WR3. Evidence may include, but is not limited to, the data submittal audit log provided by the WIT.

## Version History

|  |  |  |  |
| --- | --- | --- | --- |
| Version | Date | Action | Change Tracking |
| 1 | July 14, 2011 | Operating Committee approve | Initial version |
| 1 | September 22, 2011 | WECC Board of Directors approved | Initial version |
| 1.1 | October 4, 2011 | Errata | WM2 “Balancing Area” was corrected to reach “Balancing Authority.” |
| 1.1 | September 5, 2012 | WECC Board of Directors changed designation from “CRT” to “RBP” | Designation change |
| 1.2 | December 13, 2011 | Errata | “Interchange Authority” was removed from the Applicability section as there are no assigned tasks and the entity is not an approved entity under the NERC Functional Model, Version 5. In WM2 and WM3, “Interchange Authority” was replaced with the proper term “Interchange Coordinator” as approved under the NERC Functional Model, Version 5. |
| 1.3 | January 16, 2013 | Errata | The term “Western” in the Title was corrected to “WECC.” The “Number” was corrected from the project number of “WECC-0064” to the document number “INT-021-WECC-RBP-1.3.” Fn 1 was added to explain the Effective Dates. The document was conformed to a new template. |
| 1.3 | June 25, 2014 | WECC Board of Directors changed designation from “RBP” to “CRT” | Designation change |
| 2 | September 15, 2015 | WECC Board of Directors approved | Developed as WECC-0108. Changes to the scheduling standardization methodology |
| 2.1 | January 28, 2016 | Errata | A period was added to the end of the last sentence of WM2. In the Rationale section: 1) the phrase “This Criterion” was replaced with the phrase “This document,” 2) the phrase “For purposes of INT-021-WECC-CRT-2” has been replaced with “For purposes of this document.” Both changes were made to keep the document current as document titles and categories fluctuate. |
| 2.1 | April 1, 2016 | No change | Converted to new template. |
| 2.2 | June 18, 2019 | Errata | Converted to newest template.  In Version 2.2: 1) the word “periods” was deleted from WR2 as superfluous, 2) unnecessary commas were deleted from WR2, WM2 and WM3, 3) in WR3 “agreed-to” was hyphenated, 4) abbreviations NSI, NAI and BA were used in the Rationale section, 5) quotations were added to “common” in the Rationale section, 5) footnote 1 was added noting BAL-006-2 is no longer active, and 6) Version History syntax was updated. |
| 3 | June 15, 2021 | WECC Standards Committee approved. All changes deemed Non-Substantive. | Developed as WECC-0145. The following changes were made: 1) at WR1 and WM2, “or its successor” was deleted, 2) “tool” was exchanged for “WIT,” 3) “after the fact” was replaced with “After the Fact” in WM2, 4) in WR3, “WIT” replaced “electronic confirmation on tool,” 5) the phrase “or within a mutually agreed time frame if mutually agreed to changes arise” was deleted, 6) in the Guidance WECC Section, BAL0062 was updated to BAL004 3 for greater precision, and 7) foot note 1 was deleted as no longer applicable. |

WECC receives data used in its analyses from a wide variety of sources. WECC strives to source its data from reliable entities and undertakes reasonable efforts to validate the accuracy of the data used. WECC believes the data contained herein and used in its analyses is accurate and reliable. However, WECC disclaims any and all representations, guarantees, warranties, and liability for the information contained herein and any use thereof. Persons who use and rely on the information contained herein do so at their own risk.

## Attachments

Not used.

## Rationale

A Rationale section is optional. If Rationale Boxes were used during the development of this project, the content of those boxes appears below.

This document is in support of BAL-004-WECC-3, Automatic Time Error Correction. For purposes of this document, the word “common”means that NSI or NAI between only two Adjacent BAs as opposed to the aggregate NSI or NAI with all BAs.

1. Previous tracking system [↑](#footnote-ref-1)
2. Previous tracking system [↑](#footnote-ref-2)
3. The term “NRE” refers to entities registered in the NAESB Electronic Industry Registry (EIR). [↑](#footnote-ref-3)
4. To perform Reliability Coordination (RC) functions for WECC members, Peak Reliability (Peak) needs to receive various forecasts as specified in the Peak RC data request. Peak may also receive data from WECC members on behalf of other entities. Those non-RC entities are responsible for specifying and requesting the data they wish Peak to receive from their participants. Peak relies on the *Electric Industry Data Exchange (EIDE*) standards as set forth by the WECC Data Exchange Working Group to receive this data. [↑](#footnote-ref-4)
5. NERC Glossary of Terms Used in Reliability Standards (NERC Glossary). [↑](#footnote-ref-5)
6. For example:

   |  |  |  |  |
   | --- | --- | --- | --- |
   | Reliability Adjustment Arranged Interchange | Project 2008-12 Coordinate Interchange Standards | FERC approval 6/30/2014; effective 10/1/2014 | A request to modify a Confirmed Interchange or Implemented Interchange for reliability purposes. |
   | Reliability Adjustment RFI | Project 2007-14 Coordinate Interchange—Timing Table | FERC approved 12/17/2009; no effective date listed. | Request to modify an Implemented Interchange Schedule for reliability purposes. |

   [↑](#footnote-ref-6)
7. NERC Glossary [↑](#footnote-ref-7)
8. See Guidance, under Rationale section. [↑](#footnote-ref-8)
9. The term “NRE” refers to entities registered in the NAESB Electronic Industry Registry (EIR). [↑](#footnote-ref-9)
10. When used in quotation marks, these terms refer to proper nouns and not the defined terms used in the NERC Glossary of Terms Used in Reliability Standards. See Rationale section. [↑](#footnote-ref-10)
11. When used in quotation marks, these terms refer to proper nouns and not the defined terms used in the NERC Glossary of Terms Used in Reliability Standards. [↑](#footnote-ref-11)
12. WECC Reliability Standards Development Procedures, October 2017, incorporating by reference NERC Rules of Procedure, Appendix 3D, Registered Ballot Body Criteria, or its successor. [↑](#footnote-ref-12)
13. The Procedures do not require that only NERC Functional Model entities be used in the Applicability section of a WECC Criterion; albeit, that approach is used whenever possible. [↑](#footnote-ref-13)
14. This document has its history, in part, in the NERC Dynamic Transfer Reference Guideline. For additional background, the reader is encouraged to review the NERC Dynamic Transfer Reference Guideline, as updated from time-to-time. [↑](#footnote-ref-14)
15. North American Energy Standards Board. [↑](#footnote-ref-15)
16. The term “NRE” refers to entities registered in the NAESB Electronic Industry Registry (EIR). [↑](#footnote-ref-16)
17. North American Energy Standards Board [↑](#footnote-ref-17)
18. North American Energy Standards Board [↑](#footnote-ref-18)
19. The term “NRE” refers to entities registered in the NAESB Electronic Industry Registry (EIR). [↑](#footnote-ref-19)
20. North American Energy Standards Board [↑](#footnote-ref-20)
21. The term “NRE” refers to entities registered in the NAESB Electronic Industry Registry (EIR). [↑](#footnote-ref-21)
22. See INT-008-WECC-CRT-3, Treatment of Dynamic Transfer Request for Interchange and INT-003-WECC-CRT-3, Interchange Prescheduling Calendar [↑](#footnote-ref-22)