

A. Introduction

- 1. Title:** **Planned Outage Coordination**
- 2. Number:** TOP-003-0
- 3. Purpose:** Scheduled generator and transmission outages that may affect the reliability of interconnected operations must be planned and coordinated among Balancing Authorities, Transmission Operators, and Reliability Coordinators.
- 4. Applicability**
 - 4.1.** Generator Operators.
 - 4.2.** Transmission Operators.
 - 4.3.** Balancing Authorities.
 - 4.4.** Reliability Coordinators.
- 5. Effective Date:** April 1, 2005

B. Requirements

- R1.** Generator Operators and Transmission Operators shall provide planned outage information.
 - R1.1.** Each Generator Operator shall provide outage information daily to its Transmission Operator for scheduled generator outages planned for the next day (any foreseen outage of a generator greater than 50 MW). The Transmission Operator shall establish the outage reporting requirements.
 - R1.2.** Each Transmission Operator shall provide outage information daily to its Reliability Coordinator, and to affected Balancing Authorities and Transmission Operators for scheduled generator and bulk transmission outages planned for the next day (any foreseen outage of a transmission line or transformer greater than 100 kV or generator greater than 50 MW) that may collectively cause or contribute to an SOL or IROL violation or a regional operating area limitation. The Reliability Coordinator shall establish the outage reporting requirements.
 - R1.3.** Such information shall be available by 1200 Central Standard Time for the Eastern Interconnection and 1200 Pacific Standard Time for the Western Interconnection.
- R2.** Each Transmission Operator, Balancing Authority, and Generator Operator shall plan and coordinate scheduled outages of system voltage regulating equipment, such as automatic voltage regulators on generators, supplementary excitation control, synchronous condensers, shunt and series capacitors, reactors, etc., among affected Balancing Authorities and Transmission Operators as required.
- R3.** Each Transmission Operator, Balancing Authority, and Generator Operator shall plan and coordinate scheduled outages of telemetering and control equipment and associated communication channels between the affected areas.
- R4.** Each Reliability Coordinator shall resolve any scheduling of potential reliability conflicts.

C. Measures

- M1.** Evidence that the Generator Operator, Transmission Operator, Balancing Authority, and Reliability Coordinator reported and coordinated scheduled outage information as indicated in the requirements above.

D. Compliance

1. Compliance Monitoring Process

The Compliance Monitor will conduct a review every three years to ensure that each responsible entity has a process in place to provide planned generator and/or bulk transmission outage information to their Reliability Coordinator, and with neighboring Transmission Operators and Balancing Authorities.

Investigation: At the discretion of the Compliance Monitor, an investigation may be initiated to review the planned outage process of a monitored entity due to a complaint of non-compliance by another entity. Notification of an investigation will be given by the Compliance Monitor to the entity being investigated as soon as possible, but no later than 60 days after the event. The form and manner of the investigation will be set by the Compliance Monitor and the Compliance Monitor's Administrator.

1.1. Compliance Monitoring Responsibility

A Reliability Coordinator makes a request for an outage to “not be taken” because of a reliability impact on the grid and the outage is still taken. The Reliability Coordinator must provide all its documentation within three business days to the Compliance Monitor.

1.2. Compliance Monitoring Period and Reset Timeframe

One calendar year without a violation from the time of the violation.

1.3. Data Retention

One calendar year.

1.4. Additional Compliance Information

Not specified.

2. Levels of Non-Compliance

2.1. Level 1: Each entity responsible for reporting information under Requirements R1 and R3 has a process in place to provide information to their Reliability Coordinator but does not have a process in place (where permitted by legal agreements) to provide this information to the neighboring Balancing Authority or Transmission Operator.

2.2. Level 2: N/A.

2.3. Level 3: N/A.

2.4. Level 4: There is no process in place to exchange outage information, or the entity responsible for reporting information under Requirements R1 to R3 does not follow the directives of the Reliability Coordinator to cancel or reschedule an outage.

E. Regional Differences

None identified.