

A. Introduction

1. **Title:** Establish and Communicate System Operating Limits
2. **Number:** FAC-014-3
3. **Purpose:** To ensure that System Operating Limits (SOLs) used in the reliable operation of the Bulk Electric System (BES) are determined based on an established methodology or methodologies and that Planning Assessment performance criteria is coordinated with these methodologies.
4. **Applicability:**
 - 4.1. **Functional Entities:**
 - 4.1.1. Planning Coordinator
 - 4.1.2. Reliability Coordinator
 - 4.1.3. Transmission Operator
 - 4.1.4. Transmission Planner
5. **Effective Date*:** See BC Implementation Plan - Establish and Communicate SOLs.

B. Requirements and Measures

- R1.** Each Reliability Coordinator shall establish Interconnection Reliability Operating Limits (IROLs) for its Reliability Coordinator Area in accordance with its System Operating Limit methodology (SOL methodology). *[Violation Risk Factor: High] [Time Horizon: Operations Planning]*
- M1.** Acceptable evidence may include, but is not limited to, dated electronic or hard copy documentation that demonstrates the Reliability Coordinator established IROLs in accordance with its SOL methodology.
- R2.** Each Transmission Operator shall establish System Operating Limits (SOLs) for its portion of the Reliability Coordinator Area in accordance with its Reliability Coordinator's SOL methodology. *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*
- M2.** Acceptable evidence may include, but is not limited to, dated electronic or hard copy documentation that demonstrates the Transmission Operator established SOLs in accordance with its Reliability Coordinator's SOL methodology.
- R3.** Each Transmission Operator shall provide its SOLs to its Reliability Coordinator. *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning, Same-day Operations, Real-Time Operations]*
- M3.** Acceptable evidence may include, but is not limited to, dated electronic or hard copy documentation that demonstrates the Transmission Operator provided its SOLs.

- R4.** Each Reliability Coordinator shall establish stability limits when an identified instability impacts adjacent Reliability Coordinator Areas or more than one Transmission Operator in its Reliability Coordinator Area in accordance with its SOL methodology. *[Violation Risk Factor: High] [Time Horizon: Operations Planning]*
- M4.** Acceptable evidence may include, but is not limited to, dated electronic or hard copy documentation that demonstrates the Reliability Coordinator established stability limits in accordance with Requirement R4.
- R5.** Each Reliability Coordinator shall provide: *[Violation Risk Factor: High] [Time Horizon: Operations Planning, Same-day Operations, Real-Time Operations]*
- 5.1** Each Planning Coordinator and each Transmission Planner within its Reliability Coordinator Area, the SOLs for its Reliability Coordinator Area (including the subset of SOLs that are IROLs) at least once every twelve calendar months. *[Time Horizon: Operations Planning]*
 - 5.2** Each impacted Planning Coordinator and each impacted Transmission Planner within its Reliability Coordinator Area, the following information for each established stability limit and each established IROL at least once every twelve calendar months: *[Time Horizon: Operations Planning]*
 - 5.2.1** The value of the stability limit or IROL;
 - 5.2.2** Identification of the Facilities that are critical to the derivation of the stability limit or the IROL;
 - 5.2.3** The associated IROL T_v for any IROL;
 - 5.2.4** The associated critical Contingency(ies);
 - 5.2.5** A description of system conditions associated with the stability limit or IROL; and
 - 5.2.6** The type of limitation represented by the stability limit or IROL (*e.g.*, voltage collapse, angular stability).
 - 5.3** Each impacted Transmission Operator within its Reliability Coordinator Area, the value of the stability limits established pursuant to Requirement R4 and each IROL established pursuant to Requirement R1, in an agreed upon time frame necessary for inclusion in the Transmission Operator's Operational Planning Analyses, Real-time monitoring, and Real-time Assessments. *[Time Horizon: Operations Planning, Same-day Operations, Real-Time Operations]*
 - 5.4** Each impacted Transmission Operator within its Reliability Coordinator Area, the information identified in Requirement R5 Parts 5.2.2 – 5.2.6 for each established stability limit and each established IROL, and any updates to that information within an agreed upon time frame necessary for inclusion in the Transmission Operator's Operational Planning Analyses. *[Time Horizon: Operations Planning, Same-day Operations, Real-Time Operations]*

- 5.5** Each requesting Transmission Operator within its Reliability Coordinator Area, requested SOL information for its Reliability Coordinator Area, on a mutually agreed upon schedule. *[Time Horizon: Operations Planning]*
- 5.6** Each impacted Generator Owner or Transmission Owner, within its Reliability Coordinator Area, with a list of their Facilities that have been identified as critical to the derivation of an IROL and its associated critical contingencies at least once every twelve calendar months. *[Time Horizon: Operations Planning]*
- M5.** Acceptable evidence may include, but is not limited to, dated electronic or hard copy documentation, posting to a secure website, or other electronic means, that demonstrates the Reliability Coordinator provided the information in accordance with Requirement R5.
- R6.** Each Planning Coordinator and each Transmission Planner shall implement a documented process to use Facility Ratings, System steady-state voltage limits and stability criteria in its Planning Assessment of Near-Term Transmission Planning Horizon that are equally limiting or more limiting than the criteria for Facility Ratings, System Voltage Limits and stability described in its respective Reliability Coordinator's SOL methodology. *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
- The Planning Coordinator may use less limiting Facility Ratings, System steady-state voltage limits and stability criteria if it provides a technical rationale to each affected Transmission Planner, Transmission Operator and Reliability Coordinator.
 - The Transmission Planner may use less limiting Facility Ratings, System steady-state voltage limits and stability criteria if it provides a technical rationale to each affected Planning Coordinator, Transmission Operator and Reliability Coordinator.
- M6.** Acceptable evidence may include, but is not limited to, dated electronic or hard copy documentation demonstrating the Planning Coordinator and Transmission Planner implemented its documented process in accordance with Requirement R6.
- R7.** Each Planning Coordinator and each Transmission Planner shall annually communicate the following information for Corrective Action Plans developed to address any instability identified in its Planning Assessment of the Near-Term Transmission Planning Horizon to each impacted Transmission Operator and Reliability Coordinator. This communication shall include: *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
- 7.1** The Corrective Action Plan developed to mitigate the identified instability, including any automatic control or operator-assisted actions (such as Remedial Action Schemes, under voltage load shedding, or any Operating Procedures);
 - 7.2** The type of instability addressed by the Corrective Action Plan (e.g. steady-state and/or transient voltage instability, angular instability including generating unit loss of synchronism and/or unacceptable damping);
 - 7.3** The associated stability criteria violation requiring the Corrective Action Plan (e.g. violation of transient voltage response criteria or damping rate criteria);

- 74** The planning event Contingency(ies) associated with the identified instability requiring the Corrective Action Plan;
 - 75** The System conditions and Facilities associated with the identified instability requiring the Corrective Action Plan.
- M7.** Acceptable evidence may include, but is not limited to, dated electronic or hardcopy documentation demonstrating the Planning Coordinator and Transmission Planner communicated the information in accordance with Requirement R7.
- R8.** Each Planning Coordinator and each Transmission Planner shall annually communicate to each impacted Transmission Owner and Generation Owner a list of their Facilities that comprise the planning event Contingency(ies) that would cause instability, Cascading or uncontrolled separation that adversely impacts the reliability of the BES as identified in its Planning Assessment of the Near-Term Transmission Planning Horizon. *[Violation Risk Factor: Medium] [Time Horizon: Long- term Planning]*
- M8.** Acceptable evidence may include, but is not limited to, dated electronic or hard copy documentation demonstrating the Planning Coordinator and Transmission Planner communicated the information in accordance with Requirement R8.

C. Compliance

1. Compliance Monitoring Process

1.1. Compliance Enforcement Authority (CEA):

The British Columbia Utilities Commission.

1.2. Evidence Retention:

The following evidence retention period(s) identify the period of time an entity is required to retain specific evidence to demonstrate compliance. For instances where the evidence retention period specified below is shorter than the time since the last audit, the Compliance Enforcement Authority may ask an entity to provide other evidence to show that it was compliant for the full-time period since the last audit.

The applicable entity shall keep data or evidence to show compliance as identified below unless directed by its Compliance Enforcement Authority to retain specific evidence for a longer period of time as part of an investigation.

- The Reliability Coordinator, Transmission Operator, Transmission Planner, Planning Coordinator shall keep data or evidence of Requirements R1 through R8 for the current year plus the previous 12 calendar months.

1.3. Compliance Monitoring and Enforcement Program

As defined in the NERC Rules of Procedure, “Compliance Monitoring and Enforcement Program” refers to the identification of the processes that will be used to evaluate data or information for the purpose of assessing performance or outcomes with the associated Reliability Standard.

Violation Severity Levels

R #	Violation Severity Levels			
	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	N/A	N/A	N/A	The Reliability Coordinator failed to establish Interconnection Reliability Operating Limits (IROLs) for its Reliability Coordinator Area in accordance with its System Operating Limit Methodology (“SOL methodology”).
R2.	N/A	N/A	N/A	The Transmission Operator failed to establish SOLs for its portion of the Reliability Coordinator Area in accordance with its Reliability Coordinator’s SOL methodology.
R3.	N/A	N/A	The Transmission Operator provided its SOLs to its Reliability Coordinator, but failed to provide its SOLs at the periodicity at which the Reliability Coordinator needs such information to perform its reliability functions.	The Transmission Operator failed to provide its SOLs to its Reliability Coordinator.

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R4.	N/A	N/A	N/A	The Reliability Coordinator failed to establish stability limits to be used in operations when the limit impacts an adjacent Reliability Coordinator or more than one Transmission Operator in its Reliability Coordinator Area in accordance with its SOL methodology.
R5.	The Reliability Coordinator failed to provide one of the items listed in Requirement R5, Parts 5.1 through 5.6.	The Reliability Coordinator failed to provide two of the items listed in Requirement R5, Parts 5.1 through 5.6.	The Reliability Coordinator failed to provide three of the items listed in Requirement R5, Parts 5.1 through 5.6.	The Reliability Coordinator failed to provide four or more of the items listed in Requirement R5, Parts 5.1 through 5.6.
R6.	N/A	N/A	The Planning Coordinator or a Transmission Planner used less limiting Facility Ratings, System steady state voltage limits or stability criteria than the criteria for Facility Ratings, System Voltage Limits or stability described in its respective Reliability Coordinator's SOL methodology, but failed to provide a technical rationale for allowing the use of less	The Planning Coordinator or a Transmission Planner failed to implement a process to ensure that Facility Ratings, System steady state voltage limits or stability criteria used in Planning Assessment are equally limiting or more limiting than the criteria for Facility Ratings, System Voltage Limits or stability described in its respective

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			limiting Facility Ratings, System Voltage Limits or stability criteria	Reliability Coordinator's SOL methodology.
R7.	The Planning Coordinator or a Transmission Planner communicated the identified instability to each impacted Reliability Coordinator and Transmission Operator, but the communication did not contain one of the elements listed in Requirement R7, Parts 7.1 through 7.5.	The Planning Coordinator or a Transmission Planner communicated the identified instability to each impacted Reliability Coordinator and Transmission Operator, but the communication did not contain two of the elements listed in Requirement R7, Parts 7.1 through 7.5.	The Planning Coordinator or a Transmission Planner communicated the identified instability to each impacted Reliability Coordinator and Transmission Operator, but the communication did not contain three elements listed in Requirement R7, Parts 7.1 through 7.5.	<p>The Planning Coordinator or a Transmission Planner communicated the identified instability to each impacted Reliability Coordinator and Transmission Operator, but the communication did not contain four or more of the elements listed in Requirement R7, Parts 7.1 through 7.5.</p> <p>OR</p> <p>The Planning Coordinator or a Transmission Planner failed to communicate any identified instability, to each impacted Reliability Coordinator and Transmission Operator.</p>
R8.			The Planning Coordinator or a Transmission Planner provided the instability, Cascading or uncontrolled separation information listed in Requirement R8 to the applicable Transmission	The Planning Coordinator or a Transmission Planner failed to provide the instability, Cascading or uncontrolled separation information listed in Requirement R8 to the applicable Transmission

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			Owner, and Generation Owner, but failed to provide them annually.	Owner, and Generation Owner.
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D. Regional Variances

None.

E. Interpretations

None.

F. Associated Documents

Implementation Plan

FAC-014-3 – Establish and Communicate System Operating Limits

Version History

Version	Date	Action	Change Tracking
1	November 1, 2006	Adopted by Board	New
2		Changed the effective date to January 1, 2009 Replaced Levels of Non-compliance with Violation Severity Levels	Revised
2	June 24, 2008	Adopted by Board: FERC Order	Revised
2	January 22, 2010	Updated effective date and footer to April 29, 2009 based on the March 20, 2009 FERC Order	Update
2	April 29, 2015 – July 23, 2015	Incorrectly included TOP as the applicable function for Requirement R5. 7/23/15: Corrected to designate R5 as: RC, PA and TP.	Revised
3	May 13, 2021	Adopted by Board of Trustees	Revised under Project 2015-09
3	March 4, 2022	FERC issued Letter Order Approving Docket No. RD22-2-000	
3	March 4, 2022	Effective Date of Standard	April 1, 2024

British Columbia Utilities Commission

Implementation Plan – Establish and Communicate System Operating Limits Standards

Applicable Standard(s) and Definitions

- FAC-003-5 - Transmission Vegetation Management
- FAC-011-4 - System Operating Limits Methodology for the Operations Horizon
- FAC-014-3 - Establish and Communicate System Operating Limits
- IRO-008-3 - Reliability Coordinator Operational Analyses and Real-time Assessments
- PRC-002-3 - Disturbance Monitoring and Reporting Requirements
- TOP-001-6 - Transmission Operations
- Definition of System Voltage Limit in the Glossary of Terms Used in NERC Reliability Standards (“NERC Glossary”)
- Definition of System Operating Limit in the NERC Glossary

Requested Retirement(s)

- FAC-003-4 - Transmission Vegetation Management
- FAC-010-3 - System Operating Limits Methodology for the Planning Horizon
- FAC-011-3 - System Operating Limits Methodology for the Operations Horizon
- FAC-014-2 - Establish and Communicate System Operating Limits
- IRO-008-2 - Reliability Coordinator Operational Analyses and Real-time Assessments
- PRC-002-2 - Disturbance Monitoring and Reporting Requirements
- TOP-001-5 - Transmission Operations
- Currently effective definition of System Operating Limit

Effective Date

Reliability Standards FAC-003-5, FAC-011-4, FAC-014-3, IRO-008-3, PRC-002-3 and TOP-001-6 and the NERC Glossary terms “System Voltage Limit” and “System Operating Limit” shall become effective the first day of the first calendar quarter that is twenty-four (24) calendar months after the effective date of the BCUC order approving the standards and terms.

Retirement Date

Currently Effective Mandatory Reliability Standards

Reliability Standards FAC-003-4, FAC-010-3, FAC-011-3, FAC-014-2, IRO-008-2, PRC-002-2 and TOP-001-5 and the current definition of System Operating Limit adopted in B.C. shall be retired immediately prior to the effective dates of the Reliability Standards FAC-003-5, FAC-011-4, FAC-014-3, IRO-008-3, PRC-002-3, TOP-001-6 and the revised definition of System Operating Limit.

Provisions for Initial Compliance

- *FAC-003-5: Newly Designated Lines time period*
 - A line operated below 200kV and identified in the Applicability under 4.2 becomes subject to this standard the later of: 1) 12 months after the date the Planning Coordinator, Transmission Planner or the Western Electricity Coordination Council (WECC) identified the line in Applicability under 4.2, or 2) January 1 of the planning year when the line is forecasted to be identified in Applicability under 4.2.

A line operating below 200kV identified in Applicability under 4.2 may be removed from that designation due to system improvements, changes in generation, changes in loads, or changes in studies, and analysis of the network.

- *PRC-002-3 Requirements R2, R3, R4, R6, R7, R8, R9, R10, R11: Time Period to Address New Designations:*
 - Entities shall be 100 percent compliant with new BES Elements identified in Requirement R1 or R5 within three (3) years following the notification by the Transmission Operator or the Reliability Coordinator.
- *FAC-014-3, Requirement R6*
 - Requirement R6 shall be implemented by the Planning Coordinator or Transmission Planner following the effective date of FAC-014-3 when it begins its next cycle for conducting the studies to support its Planning Assessment.
- *FAC-014-3, Requirements R7 and R8*
 - Each Planning Coordinator and Transmission Planner shall comply with Requirements R7 and R8 within one year of the effective date of the standard.