

 

**Reliability Standard Audit Worksheet**

**INT-001-MX-0 — Interchange Information**

Mexico Reliability Standard Effective Date: January 1, 2012

***This section must be completed by the Compliance Monitor.***

**Designated Entity:**

**WCR Number:**

**Compliance Assessment Date:**

**Compliance Monitoring Method:**

**Applicable Function(s):** PSE, BA

**Names of Auditors:**

WECC developed this Reliability Standard Audit Worksheet (RSAW) language in order to facilitate its assessment of a Designated Entity’s compliance with this Mexico Reliability Standard. The RSAW language is written to specific versions of each Mexico Reliability Standard. Entities using this RSAW should choose the version of the RSAW applicable to the Mexico Reliability Standard being assessed. While the information included in this RSAW provides some of the methodology that WECC has elected to use to assess compliance with the requirements of the Mexico Reliability Standard, this document should not be treated as a substitute for the Mexico Reliability Standard or viewed as additional Mexico Reliability Standard requirements. In all cases, the user should rely on the language contained in the Mexico Reliability Standard itself, and not on the language contained in this RSAW, to determine compliance with the Mexico Reliability Standard. This RSAW may not necessarily be updated with the same frequency as Mexico Reliability Standards are approved. Therefore, it is imperative that entities treat this RSAW as a reference document only, and not as a substitute or replacement for the Mexico Reliability Standard. It is the responsibility of the Designated Entity to verify its compliance with the latest approved version of the Mexico Reliability Standards, by the applicable governmental authority, relevant to its designated reliability function. The RSAW language contained within this document provides a non‑exclusive list, for informational purposes only, of examples of the types of evidence a Designated Entity may produce or may be asked to produce to demonstrate compliance with the Mexico Reliability Standard. A Designated Entity’s adherence to the examples contained within this RSAW does not necessarily demonstrate complete compliance with the applicable Mexico Reliability Standard. The auditor reserves the right to request additional evidence from the Designated Entity that is not included in this RSAW.

**Findings Table:**

|  |  |  |  |
| --- | --- | --- | --- |
| **Req.** | **Finding** | **Summary & Documentation** | **Functions Monitored** |
| [**R1.**](#R1_Summary) |  |  | PSE |
| [**R****2**](#R2_Summary)**.** |  |  | BA |

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|  |  |
| --- | --- |
| **Req.** | **Recommendations** |
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| --- | --- |
| **Req.** | **Areas of Concern** |
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# **Subject Matter Experts**

Identify subject matter expert(s) responsible for this Mexico Reliability Standard. Insert additional lines if necessary.

**Designated Entity Response (Required):**

|  |  |  |  |
| --- | --- | --- | --- |
| **SME Name** | **Title** | **Organization** | **Requirement(s)** |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

# **R1 Supporting Evidence and Documentation**

**R1.** The Load-Serving, Purchasing-Selling Entity shall ensure that Arranged Interchange is submitted to the Interchange Authority for:

**R1.1**. All Dynamic Schedules at the expected average MW profile for each hour.

**Designated Entity Response (Required):**

Describe, in narrative form, how you meet compliance with this requirement.

**Designated Entity Evidence (Required):**

|  |
| --- |
| Designated Entity to provide the following: File name, file extension, document title, revision, date, page(s), section, section title, description |
|  |
|  |
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**Compliance Assessment Approach Specific to INT-001-MX-0, R1**

***This section must be completed by the Compliance Monitor.***

Review the evidence to verify the entity has the following:

|  |  |
| --- | --- |
|  | Determine if the entity submitted to the Interchange Authority the Arranged Interchange for all Dynamic Schedules at the expected average MW profile for each hour. |

**[Compliance Summary:](#R1_Finding)**

(Finding Summary):

Primary Documents Supporting Finding:

**Auditor Notes:**

# **R2 Supporting Evidence and Documentation**

**R2.** The Sink Balancing Authority shall ensure that Arranged Interchange is submitted to the Interchange Authority:

**R2.1**. If a Purchasing-Selling Entity is not involved in the Interchange, such as delivery from a jointly owned generator.

**R2.2.** For each bilateral Inadvertent Interchange payback.

**Designated Entity Response (Required):**

Describe, in narrative form, how you meet compliance with this requirement.

**Designated Entity Evidence (Required):**

|  |
| --- |
| Designated Entity to provide the following: File name, file extension, document title, revision, date, page(s), section, section title, description |
|  |
|  |
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**Compliance Assessment Approach Specific to INT-001-MX-0, R2**

***This section must be completed by the Compliance Monitor.***

Review the evidence to verify the entity has the following:

|  |  |
| --- | --- |
|  | Determine if CFE has been the receiver of any interchange transactions that do not involve a Purchasing Selling Entity. |
|  | Determine if CFE has been the receiver of any bilateral inadvertent interchange paybacks. |
|  | Verify the existence of an implemented transaction tag for any interchange transactions which meet the criteria above. |

[**Comp****liance Summary:**](#R2_Finding)

(Finding Summary):

Primary Documents Supporting Finding:

**Auditor Notes:**

**Supplemental Information**

**Other –** The list of questions above is not all inclusive of evidence required to show compliance with the Mexico Reliability Standard. Provide additional information here**, as necessary that** demonstrates compliance with this Mexico Reliability Standard.

**RSAW Revision History**

|  |  |
| --- | --- |
| **Revision Date** | **Comments** |
| November 14, 2011 | Revised to CFE Standards |
| November 17, 2011 | Reviewed & Approved Revisions |
| June 7, 2013 | Errata change: Changed “Compliance Enforcement Authority” to “Compliance Monitor” |
| June 10, 2013 | Updated Formatting for 2013 |
| April 4, 2014 | Formatting Changes |