



RELIABILITY & SECURITY

Oversight Monthly Update

January 18, 2024, 2:00 p.m. MT



Reliability & Security Oversight Monthly Update

January 18, 2024

Mailee Cook
Training and Outreach
Specialist



RELIABILITY IN THE WEST

A DISCUSSION SERIES





Grid *FUNDAMENTALS*

February 27-28, 2024



RELIABILITY & SECURITY

Workshop - Salt Lake City, UT



March 26–27, 2024



Enforcement ***FUNDAMENTALS***

March 25, 2024

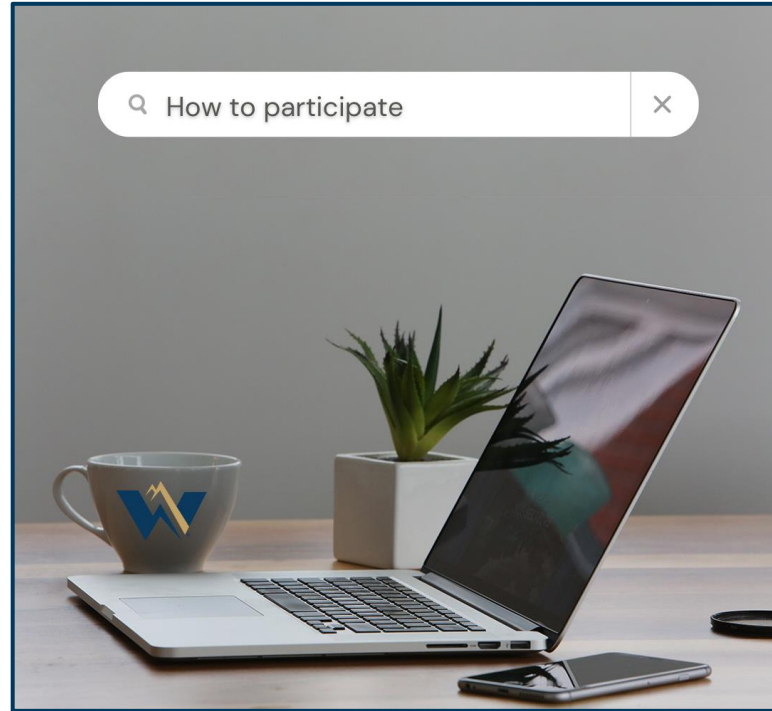
Antitrust Policy

- All WECC meetings are conducted in accordance with the WECC Antitrust Policy and the NERC Antitrust Compliance Guidelines
- All participants must comply with the policy and guidelines
- This meeting is public—confidential or proprietary information should not be discussed in open session

Antitrust Policy

- This webinar is being recorded and will be posted publicly
- By participating, you give your consent for your name, voice, image, and likeness to be included in that recording
- WECC strives to ensure the information presented today is accurate and reflects the views of WECC
- However, all interpretations and positions are subject to change
- If you have any questions, please contact WECC's legal counsel

Participating



Send questions via chat to WECC Meetings
Use the “raise hand” feature

Agenda

- Self-Certification Training
 - Angela Shapiro, Manager, Oversight Analysis & Administration, WECC
- Registration and Certification Updates
 - Mark Rogers, Senior Technical Advisor, WECC



Self-Certification Training

January 18, 2023

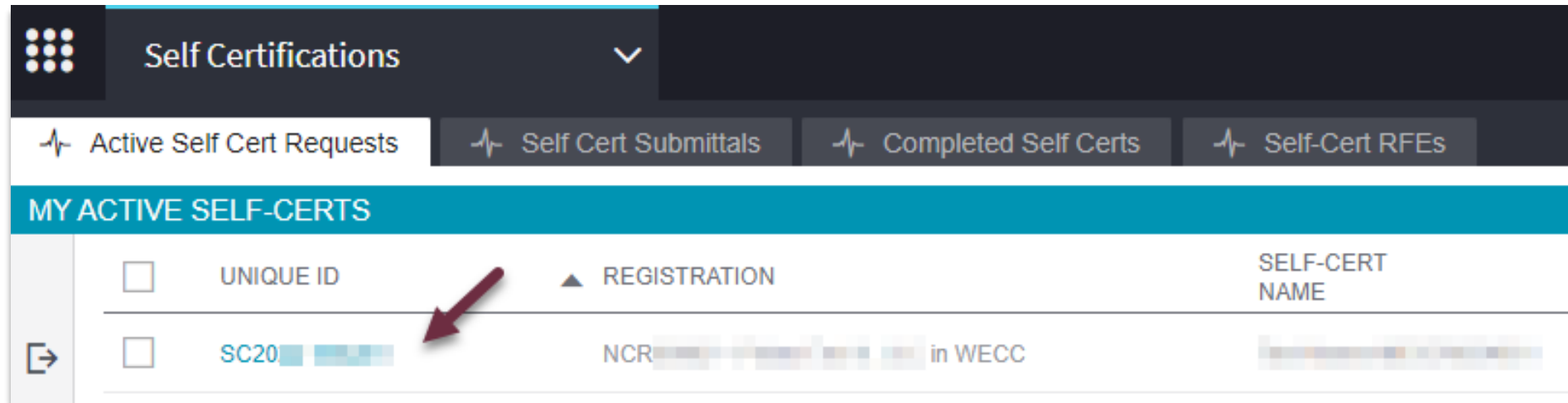
Angela Shapiro
Mgr., Oversight Analysis &
Administration


Agenda

- Locating Self-Certification in Align
- Assignments
- Self-Certification Responses
- Findings
- Attestations
- Requests for Extension
- Submittal to WECC
- Resources

Locating Self-Certification Request

- Navigate to Self-Certifications view in Align.
- Click **Unique ID** under Active Self Cert Requests tab.



Self Certifications			
Active Self Cert Requests			
MY ACTIVE SELF-CERTS			
	UNIQUE ID	REGISTRATION	SELF-CERT NAME
	<input type="checkbox"/> SC20	NCR in WECC	

Assignments

- You must assign a user for each requirement.

SELF-CERT ASSIGNMENTS		
SUBJECT	REVISION	STATUS
MOD-008-1 R5. Assignment Finalization Incomplete - Click here to Finalize 2	Not Assigned	Pending Assignment
MOD-008-1 R5. Answered by [redacted] View Answers 5	Initial Response	COMPLETED <div></div>
MOD-025-2 R1. Assign Again Assigned to you ([redacted]) - Click here to Answer 3	Initial Response	NEW <div></div>
MOD-025-2 R1.1. Assign Again at the Requirement Level Assigned to you ([redacted]) - Click here to Answer 4	Initial Response	NEW <div></div>
MOD-025-2 R1.2. Assign Again at the Requirement Level Assigned to you ([redacted]) - Click here to Answer	Initial Response	NEW <div></div>
MOD-025-2 R2. Click to Assign 1	Not Assigned	Not Assigned

Assignment

Instructions Assignment must have only **One** Person assigned.
You must have at least **One** Requirement populated.
To submit the Assessment, select **Assign** in the **Action** field
and click the **Save** button.

Persons

<input type="checkbox"/>	ASSIGNEE
<input type="checkbox"/>	Editor 1
	
	

Click here to
select other users

Click here to add
multiple requirements

Self-Cert Scope

<input type="checkbox"/>	REQUIREMENT
<input type="checkbox"/>	MOD-008-1 R5.
<input type="checkbox"/>	
<input type="checkbox"/>	

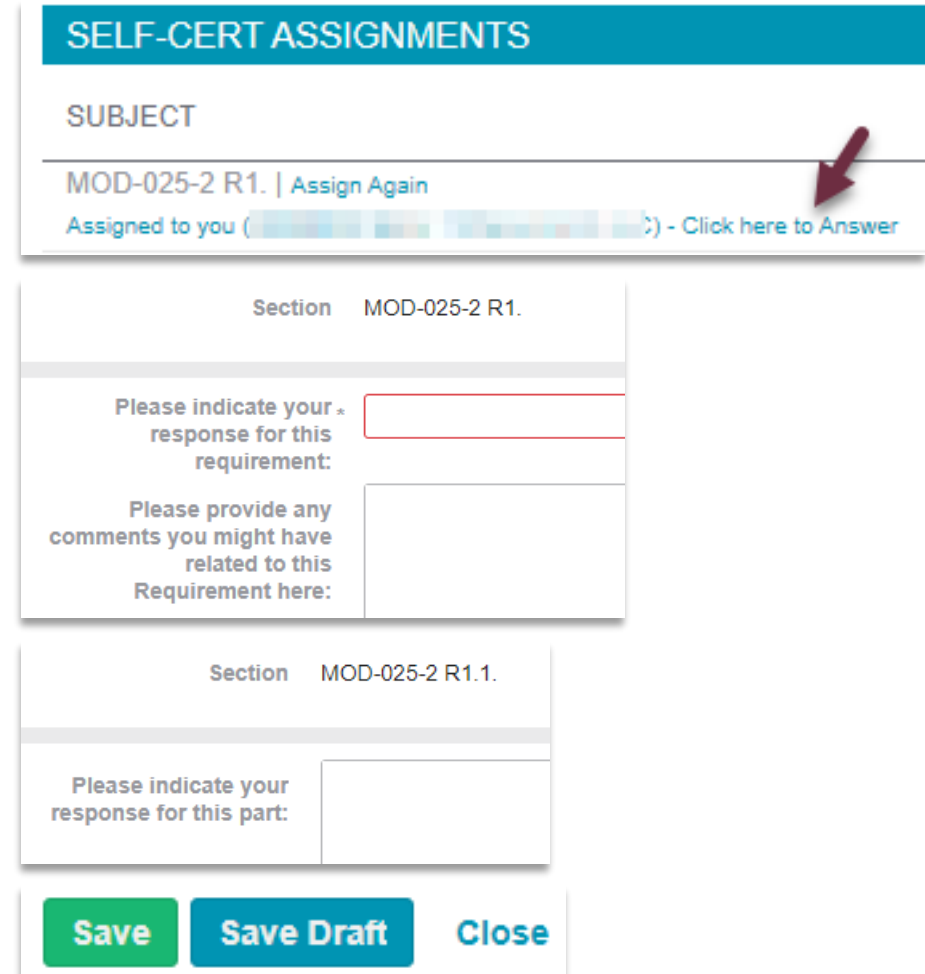
Action

Action

Assign
-- None --
None
Assign
Update
Close

Self-Certification Responses


- Respond to each requirement
 - Compliant, Non-Compliant, Not-applicable, Do Not Own
- Comments are optional
- Must answer all requirements
 - Responses for parts are optional
- Save button finalizes response



SELF-CERT ASSIGNMENTS

SUBJECT

MOD-025-2 R1. | [Assign Again](#)

Assigned to you () - [Click here to Answer](#)

Section MOD-025-2 R1.

Please indicate your response for this requirement:

Please provide any comments you might have related to this Requirement here:

Section MOD-025-2 R1.1.

Please indicate your response for this part:

[Save](#) [Save Draft](#) [Close](#)

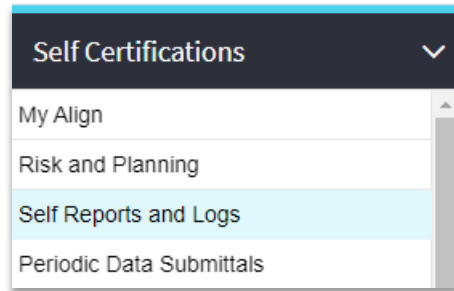
Findings


- For a non-compliant response:
 - Review “Related Findings” or create a new finding if one doesn’t exist
 - Click “plus” icon to complete draft finding

Findings		
Note: The red flag indicates there is an Active Attestation.		
CREATE FINDING		
REGISTRATION	REQUIREMENT	CREATE FINDING
NCR0 in WECC	MOD-008-1 R5.	+
NCR0 in WECC	MOD-025-2 R1.	+
NCR0 in WECC	MOD-025-2 R2.	+
RELATED FINDINGS		
ID	REGISTRATION	REQUIREMENT
2021-00200 Type: Potential Non-Compliance	NCR0 in WECC	MOD-025-2 R1.

Submit Finding

- Locate draft finding in the “Self-Reports and Logs” view and submit before sending the Self-Certification for CEA review



MY DRAFTS		
	TYPE	UNIQUE ID
	<input type="checkbox"/> Self-Certification	2022-00066

Action

Submit Finding: Select "Submit" on the Action dropdown, then click the Save and Action button at the bottom of the screen.

OPTIONAL - Submit Mitigations: Submit mitigations now by checking the box "Submit Mitigations for Review with Finding?" or later via the "My Mitigations Tab".

☐ Submit Mitigations for Review with Finding?

Action *

No Action

Delete

Submit

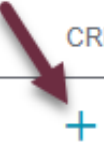
Save and Action

Save

Close

Attestations

- For Not-Applicable or Do Not Own Response:
 - Create attestation in the self-cert record
 - Only one attestation required; annotate if applicable to multiple functions
- Attestations not required for Compliant or Non-Compliant responses


Create Attestation		
CREATE ATTESTATION		
ATTESTATION SCOPE	FUNCTION	CREATE ATTESTATION
CIP-002-5.1a R1.	GO	

Requests for Extension


- Click plus icon to request extension
- Requirements are auto populated
- Previous extension requests can be viewed in the Self-Cert RFEs tab

Request an Extension

Click on the + sign to add a new Request for Extension



Request for Extension

Source *	NCR [redacted] in WECC		
Registration			
Parent Source *	SC2023-000031		
Standard / * Requirements / Part	CIP-002-5.1a R1. ✕ CIP-002-5.1a R2. ✕ CIP-003-8 R1. ✕		
Requested New * Due Date	<input type="text" value=""/> 		
Reason for * extension	<input type="text"/>		

Submittal to WECC

- Responses to all requirements and parts must be finalized and show as complete
 - All applicable attestations and findings are created
- Evidence is not required for annual self-certification

Action	
Instructions	When all questions are answered and this Self Certification is ready for CEA's review, submit this Self Certification by selecting the "Submit for CEA Review" Action option and clicking "Update" below.
Action	<div><div></div><div>-- None --</div><div>No Action</div><div>Submit for CEA Review</div></div>

Resources

- Resources are available on NERC's training site (<https://training.nerc.net/>)
 - [NERC Align Training Videos](#)
 - [Registered Entity Release 2 User Guide](#)
- Report any issues through NERC's Helpdesk
 - <https://support.nerc.net>





WECC Registration and Certification Updates

January 18, 2024

Mark Rogers
Sr. Technical Advisor

WECC Registration and Certification Update

- Staffing Updates
- Registration
- Certification

Staffing Update

- WECC Registration & Certification Staff Additions
 - Abby Fellingner
 - Carmelina Spina

Registration Update

- Future IBRs could be over 300 additional assets
- ERO Enterprise Registration Procedure*
 - “To ensure timely updates to the NCR, it is recommended that entities contact their RE as soon as possible prior to submitting a new entity registration request. Generally, a minimum of 45 days prior to the requested effective registration date is needed to allow REs sufficient time for review and scheduling.”
 - Due to the number of new registrations and registration changes, WECC is requesting a **minimum of 60 days** before the effective date (Commercial Operation Date)

*[ERO Enterprise Registration Procedure](#)
[CORES Video Library](#)
[Centralized Organization Registration ERO System \(CORES\) End User Guide.](#)

Registration Updates

- WECC Generator Welcome Package*
 - Registration Process Overview
 - Internal Controls
 - GO/GOP Roadmap
 - Is intended to help newly registered entities focus on developing expectations for their staff or processes needed to maintain reliability.
 - General Procedural = 8 Standards and Requirements
 - Initial Performance = 31 Standards and Requirements
 - Time Based Performance = 9 Standards and Requirements
 - Internal Controls Considerations Tables
 - WECC Website >Program Areas> [Compliance](#)
- [*WECC Generator Welcome Package](#)
Located in the Registration Reference Documents
and Forms on WECC.org

Certification Update

- Organization Certification Process (Full Certification)
 - BA, TOP, and RC
 - Certification activities assess the processes, procedures, tools, and training these organizations use in performing these functions and provide a prospective level of assurance that the organization has the capacity to meet the reliability obligations of its registration.
- Organization Certification Review (Certification Review)
 - Certification review provides reasonable assurance an already certified and operational Registered Entity will continue to support reliable operations of the BPS after initiating a material change. The review will seek assurance that the entity has addressed personnel training and qualifications, facilities, and equipment needed to perform and maintain the reliability functions in accordance with the applicable requirements of Reliability Standards, considering, among others, the following:

Certification Update (cont.)

- BPS reliability impacts of the change
- Critical Infrastructure Protection implications of the change
- Operator training in support of the change
- Data collection, sharing, and facilities monitoring and control necessary for Real-time Assessments, as well as next-day and longer-term planning
- Coordination of normal and emergency operations

Certification Update

- Notify WECC Certification to do a review if the following will take place in your organization.
 - Changes to Registered Entity's footprint (including de-certification changes to existing JRO/CFR assignments or sub-set list of requirements) and can be characterized by:
 - New metered boundaries associated with the integration or disassociation of existing electrical areas of the BPS (RC, TOP, or BA areas).
 - Changes in ownership of BES Facilities, in applicability of the BES Definition to a Facility, and newly installed BES Facilities.
 - Review of changes to an already registered and operational Entity's footprint is primarily concerned with ensuring the gaining functional entity has the tools, training, and security in place to reliably operate with new responsibilities.
 - New metered boundaries associated with the integration or dis-association of existing electrical areas of the BPS (Reliability Coordinator Area, Transmission Operator Area, or Balancing Authority Area).
 - Modification of the Energy Management System (EMS) which is expected to materially affect CIP security perimeters or the System Operator's: 1) situational awareness tools, 2) functionality, or 3) machine interfaces.
 - All the above should be reviewed by the entity in [Appendix 5A of the NERC Rules of Procedure](#).



www.wecc.org



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February 15, 2024, 2:00 p.m. MT



Follow and engage!
@weccreliability