

The background features a blurred image of a person's hands working on a circuit board. A ruler is visible at the top, and the board has various components and traces. The text 'ALIGN TRAINING' is overlaid on a semi-transparent blue band.

# ALIGN

TRAINING





Align Release 2  
Registered Entity Training  
Technical Feasibility Exceptions

July 15, 2021

Duane Cooke

# Antitrust Policy

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- All WECC meetings are conducted in accordance with the WECC Antitrust Policy and the NERC Antitrust Compliance Guidelines
- All participants must comply with the policy and guidelines
- This meeting is public—confidential or proprietary information should not be discussed in open session

# Antitrust Policy

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- This webinar may be recorded and may be posted publicly
- By participating, you give your consent for your name, voice, image, and likeness to be included in that recording
- WECC strives to ensure the information presented today is accurate and reflects the views of WECC
- However, all interpretations and positions are subject to change
- If you have any questions, please contact WECC's legal counsel



**WELCOME**

**ALIGN** TRAINING

# Welcome

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- Align Release 2—Periodic Data Submittals, Self-Certifications, and Technical Feasibility Exceptions
- Today we will cover TFE
- For training purposes only, not actual compliance data

# Release 2 Go-Live Date

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- Align Release 2 incorporates TFEs effective **July 19, 2021**
- Pre-existing TFEs will be migrated from webCDMS to Align
- Log into Align to verify accuracy of data

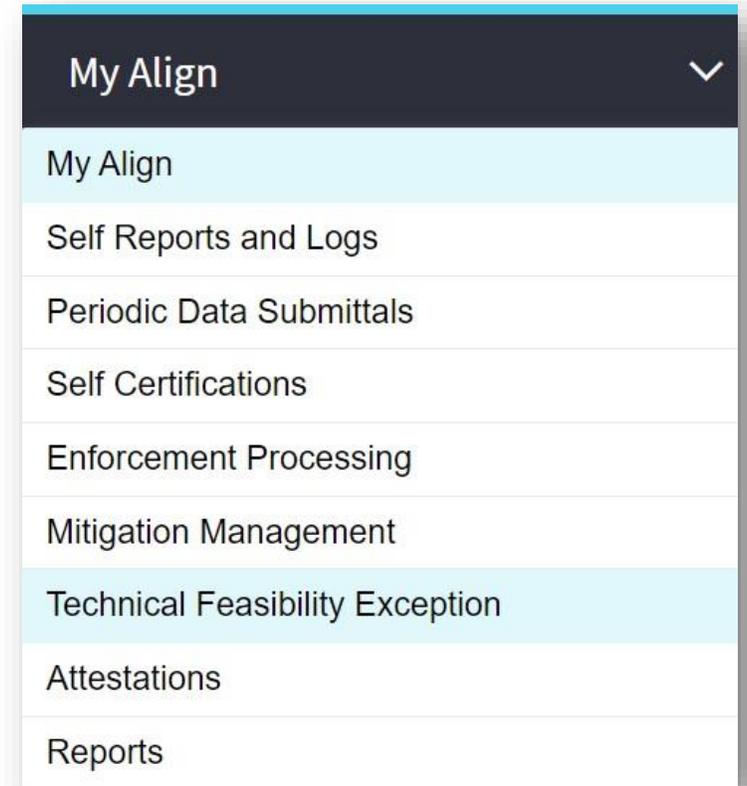
# Align Notifications

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- The PCC and ACC will receive email notifications for
  - TFE approval/disapproval
  - Material Change Report approval/disapproval
  - WECC extends TFE review period
  - TFE termination

# Navigating the System

- **Technical Feasibility Exception**—See the status of TFEs that have been submitted, review older submissions, update your TFE, or create a Material Change Report





**TECHNICAL FEASIBILITY EXCEPTION**

01  
**ALIGN** TRAINING

# Check Your Knowledge

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1. What is **not** a material change?
  - a) Increasing the asset count
  - b) Decreasing the asset count
  - c) Modifying the proposed mitigation
  - d) Modifying the basis for TFE
2. True or False: The CIP Senior Manager, or delegate, must affirm the TFE request before submittal

# Technical Feasibility Exceptions

- Create the new TFE
  - Complete TFE Covered Asset Record spreadsheet
  - Upload spreadsheet to Secure Evidence Locker—only include sensitive mitigation information in spreadsheet
- WECC has 30 days to review the request
- Approved TFEs can be changed (materially or non-materially) or terminated



Pages 3-16 of Release 2 User Guide



Video 19—Creating a TFE

Video 20—MCRs and Terminations

Video 21—Non-material Change Requests

# TFE Covered Asset Record

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- Download the [TFE – Asset Import SEL Template](#)
  - Instructions: Explains how to complete the form
  - Input: List all cyber assets that apply to the TFE, include important info
  - Proposed Mitigation: Provide additional information about proposed mitigation plan (may include sensitive information)
- Upload spreadsheet to SEL using reference ID from Align
- Update spreadsheet if requesting Material Change Report or reducing Asset Count

# Responding to a Request for Information

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- When you get an RFI, the PCC and ACC at your entity will get an email notification
- Once you log in, you'll see a new RFI for Finding with the status "awaiting your response" on your dashboard
- Submit any attachments or evidence associated with any RFI through the SEL



Video 7—Responding to RFIs

# Secure Evidence Locker

- All evidence and attachments, including the TFE Covered Asset Record spreadsheet, are provided through the SEL
- Reference IDs from Align tell the SEL where to save the evidence
- Manifests confirm successful upload



RE SEL Portal User Guide v.1



Video 17—Submitting Evidence to the SEL

# Resources

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- All the resources are available on NERC's training site (<https://training.nerc.net/>)
  - [NERC Align Training Videos](#)
  - [Registered Entity Release 2 User Guide](#)
  - [Registered Entity SEL Portal User Guide v.1](#)
- You also have access to the Align training environment
  - [Align Training Environment](#)
- Report any issues through NERC's helpdesk
  - <https://support.nerc.net>

# Closing

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- WECC is committed to helping everyone learn how to use this new system, so please let us know whether we can help you with anything
- Thank you for being here today!



## Contact:

WECC Align

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