

# Standard CIP-001-MX-0 Sabotage Reporting

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## A. Introduction

1. **Title:** Sabotage Reporting
2. **Number:** CIP-001-MX-0
3. **Purpose:** Disturbances or unusual occurrences, suspected or determined to be caused by sabotage, shall be reported to the appropriate systems, governmental agencies, and regulatory bodies.
4. **Applicability:**
  - 4.1. Reliability Coordinators
  - 4.2. Balancing Authorities
  - 4.3. Transmission Operators
5. **Effective Date:** April 1, 2014

## B. Requirements

- R1.** Each Reliability Coordinator, Balancing Authority and Transmission Operator shall have procedures for the recognition of and for making their operating personnel aware of sabotage events on its facilities and multi-site sabotage affecting larger portions of the Interconnection.
- R2.** Each Reliability Coordinator, Balancing Authority and Transmission Operator, shall have procedures for the communication of information concerning sabotage events to appropriate parties in the Interconnection.
- R3.** Each Reliability Coordinator, Balancing Authority and Transmission Operator shall provide its operating personnel with sabotage response guidelines, including personnel to contact, for reporting disturbances due to sabotage events.
- R4.** Each Reliability Coordinator, Balancing Authority and Transmission Operator shall establish communications contacts, as applicable, with local Federal Bureau of Investigation (FBI) or physical security personnel and develop reporting procedures as appropriate to their circumstances.

## C. Measures

- M1.** Each Reliability Coordinator, Balancing Authority and Transmission Operator shall have and provide upon request a procedure (either electronic or hard copy) as defined in Requirement 1.
- M2.** Each Reliability Coordinator, Balancing Authority and Transmission Operator shall have and provide upon request the procedures or guidelines that will be used to confirm that it meets Requirements 2 and 3.

## Standard CIP-001-MX-0 Sabotage Reporting

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- M3.** Each Reliability Coordinator, Balancing Authority and Transmission Operator shall have and provide upon request evidence that could include, but is not limited to procedures, policies, a letter of understanding, communication records, or other equivalent evidence that will be used to confirm that it has established communications contacts with the applicable, local FBI or physical security personnel to communicate sabotage events (Requirement 4)

### D. Compliance

#### 1. Compliance Monitoring Process

##### 1.1. Compliance Monitoring Responsibility

Regional Reliability Organizations shall be responsible for compliance monitoring.

##### 1.2. Compliance Monitoring Period and Reset Timeframe

One or more of the following methods will be used to verify compliance:

- Self-certification (Conducted annually with submission according to schedule)
- Spot Check Audits (Conducted anytime with up to 30 days notice given to prepare)
- Periodic Audit (Conducted once every three years according to schedule)
- Triggered Investigations (Notification of an investigation must be made within 60 days of an event or complaint of noncompliance. The entity will have up to 30 days to prepare for the investigation. An entity may request an extension of the preparation period and the extension will be considered by the Compliance Monitor on a case-by-case basis)

The Performance-Reset Period shall be 12 months from the last finding of non-compliance.

##### 1.3. Data Retention

Each Reliability Coordinator, Balancing Authority and Transmission Operator shall have current, in-force documents available as evidence of compliance as specified in each of the Measures.

If an entity is found non-compliant the entity shall keep information related to the non-compliance until found compliant or for two years plus the current year, whichever is longer.

Evidence used as part of a triggered investigation shall be retained by the entity being investigated for one year from the date that the investigation is closed, as determined by the Compliance Monitor.

The Compliance Monitor shall keep the last periodic audit report and all requested and submitted subsequent compliance records.

## Standard CIP-001-MX-0 Sabotage Reporting

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### 1.4. Additional Compliance Information

None

### Version History

Version	Date	Action	Change Tracking